Naeve Gregory S. Form 3 August 23, 2017

# FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement BELLICUM PHARMACEUTICALS, INC [BLCM] A Naeve Gregory S. (Month/Day/Year) 08/21/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 2130 W. HOLCOMBE BLVD., (Check all applicable) STE. 800 (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line)

HOUSTON, TXÂ 77030

(State)

Table I - Non-Derivative Securities Beneficially Owned

(give title below) (specify below)

Sr. VP, Chief Business Officer

1. Title of Security (Instr. 4)

(City)

2. Amount of Securities Beneficially Owned (Instr. 4)

Ownership Form: Direct (D) or Indirect (I)

4. Nature of Indirect Beneficial

Reporting Person

Person

\_X\_ Form filed by One Reporting

Form filed by More than One

Ownership (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

SEC 1473 (7-02)

(Instr. 5)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 4. 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) Form of Derivative Security or Exercise (Instr. 5) Derivative (Instr. 4) Price of Security: Derivative Date **Expiration Title** Amount or Security Direct (D) Number of Exercisable Date or Indirect Shares (I)

(Instr. 5)

Relationships

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Naeve Gregory S.

2130 W. HOLCOMBE BLVD., STE. 800 ŠŠSr. VP, Chief Business Officer ŠHOUSTON, TXÂ 77030

## **Signatures**

/s/ Ken Moseley, by Power of Attorney 08/23/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

No securities are beneficially owned

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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