

3D SYSTEMS CORP
Form 4
May 17, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LOEWENBAUM G WALTER II

(Last) (First) (Middle)

333 THREE D SYSTEMS CIRCLE

(Street)

ROCK HILL, SC 29730

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
3D SYSTEMS CORP [DDD]

3. Date of Earliest Transaction (Month/Day/Year)
05/16/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|-----------|---|---|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock | 05/16/2017 | | A | | 4,290 | A | 1,067,106 | D | | |
| Common Stock | 05/16/2017 | | G | | 10,000 | D | \$ 0 | 1,057,106 | D | |
| Common Stock | | | | | | | | 176,944 | I | By spouse ⁽²⁾ |
| Common Stock | | | | | | | | 376,441 | I | By The Loewenbaum 1992 Trust ⁽²⁾ ⁽³⁾ |
| Common | | | | | | | | 33,279 | I | By The Lillian |

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| | | | | |
|-----------------|--------|---|--|---|
| Stock | | | | Shaw Loewenbaum Trust <u>(2)</u> <u>(3)</u> |
| Common Stock | 5,100 | I | | By Anna Willis Loewenbaum 1993 Trust <u>(2)</u> <u>(3)</u> |
| Common Stock | 5,100 | I | | The Elizabeth Scott Loewenbaum 1993 Trust <u>(2)</u> <u>(3)</u> |
| Common Stock | 60,000 | I | | By The Wally's Trust u/w/o Joel Simon Loewenbaum <u>(2)</u> <u>(4)</u> |
| Common Stock | 55,565 | I | | By The Waterproof Partnership, L.P. <u>(2)</u> <u>(5)</u> |
| Common Stock | 67,500 | I | | Blanco Cattle & Ranch, LLC <u>(6)</u> |
| Common Stock | 11,703 | I | | Lillian Shaw Loewenbaum TTEE the LSL3DS 2014 Annuity Trust U/A/ Dtd 11/13/2014 <u>(2)</u> <u>(7)</u> |
| Common Stock | 40,868 | I | | The GWL3D 2015 Annuity Trust U/A Dtd 05/18/2015 <u>(2)</u> <u>(4)</u> |
| Common Stock | 27,538 | I | | The LSL3D 2015 Annuity Trust U/A Dtd 05/18/2015 <u>(2)</u> <u>(7)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| LOEWENBAUM G WALTER II 333 THREE D SYSTEMS CIRCLE ROCK HILL, SC 29730 | | X | | |

Signatures

/s/ Andrew M. Johnson,
Attorney-in-Fact

05/17/2017

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- A total of 4,290 shares were awarded to the Reporting Person. Of those shares, 3,000 shares were awarded to the Reporting Person pursuant to the 2004 Restricted Stock Plan for Non-Employee Directors, and the Reporting Person purchased these shares at par value, or \$0.001 per share; and 1,290 shares were awarded to the Reporting Person pursuant to the Issuer's 2015 Incentive Plan.
- (1) Pursuant to the 2004 Restricted Stock Plan for Non-Employee Directors, and the Reporting Person purchased these shares at par value, or \$0.001 per share; and 1,290 shares were awarded to the Reporting Person pursuant to the Issuer's 2015 Incentive Plan.
 - (2) The Reporting Person disclaims beneficial ownership of these securities except to the extent of any pecuniary interest therein.
 - (3) Mr. and Mrs. Loewenbaum serve as Trustees.
 - (4) Mr. Loewenbaum serves as Trustee.
 - (5) Mr. and Mrs. Loewenbaum are the general partners.
 - (6) The limited liability company is owned 100% by Mr. Loewenbaum.

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(7) Mrs. Loewenbaum serves as trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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