Edgar Filing: Gogo Inc. - Form 4

Gogo Inc.										
Form 4										
March 16, 20	17									
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB APPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						OMB Number:	3235-0287			
Check thi	er							Expires:	January 31,	
subject to	if no longer subject to STATEMENT OF CHANG				CIA	LOW	NERSHIP OF		imated average	
Section 10		SECURITI						burden hou	urs per	
Form 4 or Form 5		Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,					response	. 0.5		
obligation							-			
may conti	nue.	30(h) of the	•	•	- ·		of 1935 or Sectio)[]		
See Instru 1(b).	ction	50(II) 01 the	mvestment	Compan	y Aci	0119	40			
1(0).										
(Print or Type R	esponses)									
	ddress of Reporting F	Person [*] 2. Iss	uer Name and	Name and Ticker or Trading			5. Relationship of Reporting Person(s) to			
Jackson Kar	Symbo	/mbol				Issuer				
Gogo			go Inc. [GOGO]				(Check all applicable)			
(Last)	(First) (M	liddle) 3. Date	of Earliest Tr	ansaction			`			
			Ionth/Day/Year)				Director 10% Owner X Officer (give title Other (specify			
III N. CAN	AL STREET, ST	'E 1500 03/14	/2017				below)	below)	ier (specify	
							SVP, I	Human Resour	ces	
	(Street)	4. If A	nendment, Da	te Original			6. Individual or Jo	oint/Group Fili	ng(Check	
		Filed(M	Ionth/Day/Year)			Applicable Line)			
CUICACO	П (0(0)						_X_ Form filed by Form filed by N	One Reporting P More than One R		
CHICAGO,	IL 00000						Person		1 0	
(City)	(State) (Zip) Ta	ble I - Non-D	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of	2. Transaction Date		3.	4. Securi			5. Amount of	6. Ownership		
Security	(Month/Day/Year)	Execution Date, any	if Transacti Code	onAcquired			Securities	Form: Direct (D) or	Indirect Beneficial	
(Instr. 3)		(Month/Day/Yea		CodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5)			· · · ·		Ownership	
		` `	, , , ,				Following	(Instr. 4)	(Instr. 4)	
					(A)		Reported Transaction(s)			
					or	D.	(Instr. 3 and 4)			
Common			Code V	Amount	(D)	Price				
Stock (1)	03/14/2017		А	2,910	А	\$0	14,715 <u>(2)</u>	D		
Stoon _										

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Gogo Inc. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Nun of Sl
Options (Right to Buy)	\$ 11.96	03/14/2017		А	23,000	(3)	03/14/2027	Common Stock	23,
Performance Options (Right to Buy)	\$ 11.96	03/14/2017		А	7,710	(4)	03/14/2027(4)	Common Stock	7,7
Performance Restricted Stock Units	(5)	03/14/2017		А	930	(6)	(6)	Common Stock	9:

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Jackson Karen 111 N. CANAL STREET, STE 1500 CHICAGO, IL 60606			SVP, Human Resources			
Signatures						
/s/ Margee Elias, Attorney-in-Fact for Jackson	03/16/2017					
**Signature of Reporting Person			Date			

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes restricted shares.

- (2) Includes 3,659 shares acquired under Gogo Inc.'s employee stock purchase plan in transactions that were exempt under Rule 16b-3(c)
- (3) These options were granted on March 14, 2017 and are scheduled to vest and become exercisable in four equal annual installments on the first four anniversaries of the grant date, subject to continued employment with the Company.

The performance options vest and become exercisable when they have both time vested and performance vested as follows: (i) the performance options time vest in equal annual installments on the first four anniversaries of March 14, 2017 and (ii) the performance

(4) options performance vest at such time, if any, as the per share closing price of Gogo common stock on the NASDAQ market during the period beginning on the grant date and ending on the fourth anniversary of March 14, 2017 equals or exceeds \$25 for a period of 30 consecutive trading days. Any performance option that has not performance vested by March 14, 2021 shall be forfeited.

Edgar Filing: Gogo Inc. - Form 4

(5) Each performance restricted stock unit represents the contingent right to receive one share of the Company's common stock or its equivalent value in cash.

The performance restricted stock units vest and are settled when they have both time vested and performance vested as follows: (i) the performance restricted stock units time vest in equal annual installments on the first four anniversaries of March 14, 2017 and (ii) the performance restricted stock units performance vest at such time, if any, as the per share closing price of Gogo common stock on the

(6) NASDAQ market during the period beginning on the grant date and ending on the fourth anniversary of March 14, 2017 equals or exceeds \$25 for a period of 30 consecutive trading days. Any performance restricted stock unit that has not performance vested by March 14, 2021 shall be forfeited.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.