JAKKS PACIFIC INC Form 4 July 20, 2016

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* SOON-SHIONG PATRICK

9922 JEFFERSON BOULEVARD

2. Issuer Name and Ticker or Trading Symbol

JAKKS PACIFIC INC [JAKK]

5. Relationship of Reporting Person(s) to Issuer

(First) (Last)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

07/18/2016

Director X\_\_ 10% Owner \_ Other (specify Officer (give title

(Check all applicable)

below)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person X\_ Form filed by More than One Reporting

Person

CULVER CITY, CA 90232

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	Transactior Dispose Code (Instr. 3, 4 (Instr. 8)		<b>O</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock							239,622	D	
Common Stock	07/18/2016		S	100,000	D	\$ 8.623	2,424,673	I	Through California Capital Z

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Derivative Security (Instr. 3)	Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)	5. orNumber of Derivative	Expiration Date (Month/Day/Year)		Underlying Securities (Instr. 3 and 4)	
	Derivative Security				Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Warrant	\$ 16 2823					09/12/2012	09/12/2017	Common	1 500 000

09/12/2012 09/12/2017

1,500,000

Stock

## **Reporting Owners**

\$ 16.2823

Warrant

Reporting Owner Name / Address	Relationships					
• 9	Director	10% Owner	Officer	Other		
SOON-SHIONG PATRICK 9922 JEFFERSON BOULEVARD CULVER CITY, CA 90232		X				
California Capital Z, LLC 9922 JEFFERSON BOULEVARD CULVER CITY, CA 90232		X				

# **Signatures**

/s/ Patrick Soon-Shiong	07/20/2016		
**Signature of Reporting Person	Date		
/s/ Charles Kenworthy, Manager of California Capital Z,			
LLC	07/20/2016		
**Signature of Reporting Person	Date		

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - These shares of common stock were sold on the open market. The price reported in Column 4 is a weighted average price per share. These shares were sold in multiple transactions at prices ranging from \$8.400 to \$8.820, inclusive. Each of Dr. Patrick Soon-Shiong
- and California Capital Z, LLC ("California Capital Z") undertakes to provide to JAKKS Pacific, Inc. (the "Company"), any **(1)** securityholder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the price at which these shares were sold.
- Dr. Patrick Soon-Shiong is the sole member of California Capital Z. **(2)**

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(3) California Capital Equity, LLC is the sole member of NantWorks LLC. Dr. Patrick Soon-Shiong is the sole member of California Capital Equity, LLC.

### **Remarks:**

This Form 4 shall not be deemed to be an admission by any reporting person hereunder that it or he is the beneficial owner, for Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.