30(h) of the Investment Company Act of 1940

### CONCERT PHARMACEUTICALS, INC.

Form 4 June 16, 2015

# FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

OMB APPROVAL

OMB Number: 3235-0287

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(Print or Type Responses)

1(b).

may continue.

See Instruction

| 1. Name and Address of Reporting Person * McGowan Pauline |  |               | 2. Issuer Name and Ticker or Trading Symbol CONCERT PHARMACEUTICALS, INC. [CNCE] |                                       |                            |         |        | 5                    | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable)  |  |   |  |
|---|--|---------------|--|---------------------------------------|----------------------------|---------|--------|----------------------|--|--|---|--|
|   | (First)<br>CERT<br>CEUTICALS, IN<br>AVENUE, SUIT |               |  | Day/ I cai)                           |                            |         |        |                      | Director 10% OwnerX Officer (give title Other (specify below) VP, Finance  |  |   |  |
|   | (Street) 4. If Am                                |               |  |                                       | f Amendment, Date Original |         |        |                      | 6. Individual or Joint/Group Filing(Check  |  |   |  |
| File<br>LEXINGTON, MA 02421                               |  |               |  | · · · · · · · · · · · · · · · · · · · |                            |         |        |                      | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person               |  |   |  |
| (City)  | (State)  | (Zip)         | Tak  | ole I - Non                           | Deri                       | ivative | Secu   | rities Acqu          | ired, Disposed of,   | or Beneficial  | ly Owned  |  |
| 1.Title of<br>Security<br>(Instr. 3)                      | 2. Transaction Dat<br>(Month/Day/Year)           | Execution any |  | 3.<br>Transacti<br>Code<br>(Instr. 8) | onor<br>(Ir                |         | sed of |                      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock   | 06/12/2015                                       |               |  | M(1)                                  | 49                         | 98      | A      | \$ 3.5               | 498  | D  |   |  |
| Common<br>Stock   | 06/12/2015                                       |               |  | S(2)                                  | 49                         | 98      | D      | \$<br>16.0823<br>(3) | 0  | D  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### number.

8. F Der Sec (Ins

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exer<br>Expiration D<br>(Month/Day) | Pate               | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|---|---|---------------------------------------|---|---|--------------------|---|--|
|   |   |   |   | Code V                                | (A) (D)   | Date<br>Exercisable                         | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 3.5  | 06/12/2015                              |   | M                                     | 498   | <u>(4)</u>                                  | 12/12/2021         | Common<br>Stock   | 498                                    |

# **Reporting Owners**

| Reporting Owner Name / Address    | Relationships |           |         |       |  |  |
|-----------------------------------|---------------|-----------|---------|-------|--|--|
| r                                 | Director      | 10% Owner | Officer | Other |  |  |
| McGowan Pauline                   |               |           |         |       |  |  |
| C/O CONCERT PHARMACEUTICALS, INC. |               |           | VP,     |       |  |  |
| 99 HAYDEN AVENUE, SUITE 500       |               |           | Finance |       |  |  |
| LEXINGTON, MA 02421               |               |           |         |       |  |  |

# **Signatures**

/s/ Pauline
McGowan

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This exercise was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 17, 2014.
- (2) This sale was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 17, 2014.
  - Represents the weighted average sales price for shares sold in multiple transactions. Sales prices ranged from \$16.01 to \$16.17 per share.
- (3) Upon request of the staff of the Securities and Exchange Commission, the issuer or a security holder of the issuer, the reporting person will provide full information regarding the number of shares sold at each separate price.

**(4)** 

Reporting Owners 2

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This option vested as to 6.25% of the original 7,964 shares on March 12, 2012 and vests as to an additional 6.25% of the original shares at the end of each successive three-month period through and including Deember 12, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.