### Edgar Filing: PNC FINANCIAL SERVICES GROUP, INC. - Form 3

PNC FINANCIAL SERVICES GROUP, INC.

Form 3

February 09, 2015

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Date of Event 3. Issuer Name and Ticker or Trading Symbol Requiring Statement Rockey Joseph E PNC FINANCIAL SERVICES GROUP, INC. [PNC] (Month/Day/Year) (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original 01/31/2015 Person(s) to Issuer Filed(Month/Day/Year) **249 FIFTH** (Check all applicable) AVENUE, Â MAILSTOP: P1-POPP-30-1 Director (Street) Owner 6. Individual or Joint/Group X Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Executive Vice President Person PITTSBURGH, Â PAÂ 15222-2707 Form filed by More than One Reporting Person (City) (State) (Zip)

#### Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Ownership (Instr. 4) Beneficially Owned Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (Instr. 5) Â 12,196 (1) \$5 Par Common Stock D Â \$5 Par Common Stock  $4,122^{(2)}$ D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date	3. Title and Amount of Securities Underlying	4. Conversion	5. Ownership	6. Nature of Indirect Beneficial
	(Month/Day/Year)	Derivative Security (Instr. 4)	or Exercise Price of	Form of Derivative	Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right-to-Buy)	01/23/2007	01/23/2016	\$5 Par Common Stock	16,000	\$ 70.055	D	Â
Employee Stock Option (Right-to-Buy)	01/25/2008	01/25/2017	\$5 Par Common Stock	14,000	\$ 72.65	D	Â
Employee Stock Option (Right-to-Buy)	04/20/2008	04/20/2017	\$5 Par Common Stock	2,400	\$ 75.85	D	Â
Employee Stock Option (Right-to-Buy)	01/22/2009	01/22/2018	\$5 Par Common Stock	16,000	\$ 57.21	D	Â
Employee Stock Option (Right-to-Buy)	01/25/2011	01/25/2020	\$5 Par Common Stock	17,000	\$ 54.01	D	Â
Employee Stock Option (Right-to-Buy)	02/09/2012	02/09/2021	\$5 Par Common Stock	18,000	\$ 64.21	D	Â
Employee Stock Option (Right-to-Buy)	02/07/2013	02/07/2022	\$5 Par Common Stock	18,000	\$ 60.7	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Keiationsnips				
	Director	10% Owner	Officer	Other	
Rockey Joseph E 249 FIFTH AVENUE MAILSTOP: P1-POPP-30-1 PITTSBURGH, PA 15222-2707	Â	Â	Executive Vice President	Â	

# **Signatures**

Christi Davis, Attorney-in-Fact for Joseph E. Rockey 02/09/2015

# **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 6,627 shares of restricted stock that were previously granted and will vest on two different dates. 2,209 Restricted Stock Shares will vest on July 22, 2015 and 4,418 Restricted Stock Shares will vest on July 22, 2016.

Date

Reporting Owners 2

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Represents restricted share units payable solely in stock. Vesting of Restricted Share Units wll take place on seven different dates. 571

(2) shares will vest on March 7, 2015, 612 shares will vest on March 8, 2015, 571 shares will vest on March 7, 2016, 613 shares will vest on March 8, 2016, 571 shares will vest on March 7, 2017, 613 shares will vest on March 8, 2017 and 571 shares will vest on March 7, 2018.

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#### **Remarks:**

See attached footnotes page.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.