#### Edgar Filing: AUBURN NATIONAL BANCORPORATION, INC - Form 4

#### AUBURN NATIONAL BANCORPORATION, INC

Form 4

December 01, 2014

# FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB Number: 3235-0287

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Check this box if no longer subject to Section 16.

Form 4 or
Form 5

obligations
may continue.

Filed pursuant to Section 17(a) of the Pub

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

(Print or Type Responses)

See Instruction

1. Name and A	Address of Reporting Pers ERRELL E	Symbol AUBU	JRN NATIONAL CORPORATION, INC	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
		[AUBN	N]	Director 10% Owner X Officer (give title Other (specify			
(Last)	(First) (Midd	(Month/	of Earliest Transaction /Day/Year)	below) below)  Senior Vice President			
P. O. BOX	3110	12/01/2	2014				
	(Street)		nendment, Date Original onth/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
AUBURN,	AL 36831-3110			Form filed by More than One Reporting Person			
(City)	(State) (Zip)	Tab	ble I - Non-Derivative Securitie	Acquired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	any	ecution Date, if	(A) or Code V Amount (D) I				
common stock	12/01/2014		P 80 A $\frac{\$}{25}$	065 42,180 D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration Date		Amou	int of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative		•		Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						Ì
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	Date	Title	Number		
									of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BISHOP TERRELL E P. O. BOX 3110 AUBURN, AL 36831-3110

Senior Vice President

## **Signatures**

Terrell E.
Bishop

\*\*Signature of Date

Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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