Hannon Armstrong Sustainable Infrastructure Capital, Inc.

Form 4

April 25, 2014

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

Common

stock, par

per share

value \$0.01

(Print or Type Responses)

1. Name and Address of Reporting Person \* Rose Nathaniel

(First) (Middle)

1906 TOWNE CENTRE BLVD. **SUITE 370** 

(Street)

(State)

04/23/2014

2. Issuer Name and Ticker or Trading

Symbol

Hannon Armstrong Sustainable Infrastructure Capital, Inc. [HASI]

3. Date of Earliest Transaction (Month/Day/Year)

04/23/2014

4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner

X\_ Officer (give title Other (specify below) below)

Senior Vice President & CIO

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

ANNAPOLIS, MD 21401

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported Transaction(s)

(Instr. 3 and 4)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Price

Code V Amount (D)

\$ 2,100 F D 13.18 146,218 <sup>(3) (4)</sup> D (1) (2)

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: Hannon Armstrong Sustainable Infrastructure Capital, Inc. - Form 4

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

<ol> <li>Title of</li> </ol>	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	ctionNumber	Expiration D	ate	Amou	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	B) Derivativ	e		Securi	ties	(Instr. 5)
· · · · ·	Derivative		•		Securities	S		(Instr.	3 and 4)	
	Security				Acquired			`	Í	
	J				(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
					i, and 5)					
									Amount	
						Date	Expiration		or	
						Exercisable	•	Title Num	Number	
						Lacicisable			of	
				Code	V (A) (D)				Shares	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
. 0	Director	10% Owner	Officer	Other			
Rose Nathaniel			Senior Vice				
1906 TOWNE CENTRE BLVD. SUITE 370			President &				
ANNAPOLIS, MD 21401			CIO				

#### **Signatures**

/s/ Nathaniel J.
Rose

\_\*\*Signature of Reporting Person

O4/25/2014

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Consists of shares of Common Stock withheld by the issuer, with approval of the issuer's Board of Directors, in order to satisfy the tax withholding obligation of the reporting person in connection with the vesting of 10,929 shares of Common Stock.
- (2) Represents the closing price of the Common Stock on April 23, 2014.
- On April 10, 2014, the reporting person was granted 15,427 shares of restricted Common Stock that were issued pursuant to the Hannon (3) Armstrong Sustainable Infrastructure Capital Inc. Equity Incentive Plan. The shares vest as to one half on June 1, 2015 and one half on June 1, 2016.
- On April 23, 2013, the reporting person was granted 43,714 shares of restricted Common Stock that were issued pursuant to the Hannon (4) Armstrong Sustainable Infrastructure Capital Inc. Equity Incentive Plan. The shares vested as to one fourth on April 23, 2014, and will vest as to one fourth on April 23, 2015, one fourth on April 23, 2016 and one fourth on April 23, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2