

CITIZENS INC
Form 4
August 27, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
RILEY HAROLD E

(Last) (First) (Middle)

400 EAST ANDERSON LANE

(Street)

AUSTIN, TX 78752

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
CITIZENS INC [CIA]

3. Date of Earliest Transaction
(Month/Day/Year)
01/25/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman & CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Citizens, Inc. Class A Common Stock	01/25/2013		L ⁽¹⁾	V 25.6442 A	\$ 9.71 2,011,899.2179	D	
Citizens, Inc. Class A Common Stock	02/01/2013		L ⁽¹⁾	V 24.0293 A	\$ 10.37 2,011,923.2472	D	
Citizens, Inc. Class	03/01/2013		L ⁽¹⁾	V 26.247 A	\$ 9.49 2,011,949.4942	D	

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A
Common
Stock

Citizens,
Inc. Class

A 03/28/2013 L⁽¹⁾ V 30.2193 A \$ 8.24 2,011,979.7135 D
Common
Stock

Citizens,
Inc. Class

A 04/26/2013 L⁽¹⁾ V 38.0477 A \$ 6.54 2,012,017.7612 D
Common
Stock

Citizens,
Inc. Class

A 05/31/2013 L⁽¹⁾ V 39.6435 A \$ 6.27 2,012,057.4047 D
Common
Stock

Citizens,
Inc. Class

A 06/28/2013 L⁽¹⁾ V 40.6001 A \$ 6.12 2,012,098.0048 D
Common
Stock

Citizens,
Inc. Class

A 08/02/2013 L⁽¹⁾ V 34.1542 A \$ 7.28 2,012,132.159 D
Common
Stock

Citizens,
Inc. Class

A 08/26/2013 G⁽²⁾ 1,250 D \$ 7.54 2,010,882.159 D
Common
Stock

Citizens,
Inc. Class

A 462,294 I Spouse
Common
Stock

Citizens,
Inc. Class

B 1,001,714 I Revocable
Common Trust
Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not**

SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following the Transaction (Instr. 10)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RILEY HAROLD E 400 EAST ANDERSON LANE AUSTIN, TX 78752	X		Chairman & CEO	

Signatures

/s/Harold E.
Riley

08/27/2013

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These shares were purchased through the Citizens Stock Investment Plan as part of regular monthly payroll deduction.

(2) Charitable Contribution

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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