**SPRINT Corp** Form 4 July 11, 2013

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** 

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Johnson Robert L

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

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**OMB** 

Number:

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burden hours per

Symbol

SPRINT Corp [S]

(Check all applicable)

(First) (Middle) (Last) 3. Date of Earliest Transaction

(Zip)

(Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify

07/10/2013

below) Chief Service Officer

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

OVERLAND PARK, KS 66251

(State)

(City)

Stock

6200 SPRINT PARKWAY

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) Owned Indirect (I) Ownership (Instr. 8) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 07/10/2013 D 570,293 D (1) 0 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: SPRINT Corp - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am Nui Sha
Non-Qualified Stock Option (right to buy)	\$ 6.52	07/10/2013		D	65,708	(2)	03/26/2018	Common Stock	65
Non-Qualified Stock Option (right to buy)	\$ 3.59	07/10/2013		D	377,778	(2)	02/25/2019	Common Stock	37
Non-Qualified Stock Option (right to buy)	\$ 3.45	07/10/2013		D	116,364	<u>(4)</u>	03/16/2020	Common Stock	11
Non-Qualified Stock Option (right to buy)	\$ 4.64	07/10/2013		D	6,329	(2)	06/17/2017	Common Stock	6
Non-Qualified Stock Option (right to buy)	\$ 4.64	07/10/2013		D	3,164	(2)	06/17/2017	Common Stock	3
Non-Qualified Stock Option (right to buy)	\$ 4.64	07/10/2013		D	198	(2)	06/17/2017	Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 4.64	07/10/2013		D	395	(2)	06/17/2017	Common Stock	:
Non-Qualified Stock Option (right to buy)	\$ 4.64	07/10/2013		D	4,154	(2)	06/17/2017	Common Stock	4
Non-Qualified Stock Option (right to buy)	\$ 4.64	07/10/2013		D	791	(2)	06/17/2017	Common Stock	,
Non-Qualified Stock Option (right to buy)	\$ 4.64	07/10/2013		D	989	(2)	06/17/2017	Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 4.64	07/10/2013		D	1,186	(2)	06/17/2017	Common Stock	1
Non-Qualified Stock Option (right to buy)	\$ 4.64	07/10/2013		D	22,153	(2)	06/17/2017	Common Stock	22

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Non-Qualified Stock Option (right to buy)	\$ 4.64	07/10/2013	D	14,555	(2)	06/17/2017	Common Stock	14
Non-Qualified Stock Option (right to buy)	\$ 4.64	07/10/2013	D	16,801	(2)	06/17/2017	Common Stock	16
Non-Qualified Stock Option (right to buy)	\$ 4.2	07/10/2013	D	136,585	<u>(5)</u>	02/23/2021	Common Stock	13
Non-Qualified Stock Option (right to buy)	\$ 2.23	07/10/2013	D	260,870	<u>(5)</u>	02/22/2022	Common Stock	26

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Johnson Robert L 6200 SPRINT PARKWAY OVERLAND PARK, KS 66251

Chief Service Officer

## **Signatures**

/s/ Timothy P. O'Grady Attorney-in-Fact

07/11/2013

\*\*Signature of Reporting Person

Date

Such number will be promptly disclosed by Issuer once it may be definitively determined.

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This includes 285,305 shares and 284,988 restricted stock units that were disposed of in connection with the merger (the "Merger") of Sprint Nextel Corporation ("Old Sprint") with and into a wholly owned subsidiary of Sprint Corporation (the "Issuer"). Each share of Old Sprint common stock was disposed of for a combination of approximately \$5.65 in cash and 0.2617 share of Issuer common stock, which did not begin trading on the New York Stock Exchange until July 11, 2013. All Old Sprint restricted stock units were disposed of in exchange for a number of Issuer restricted stock units that is dependent on the trading prices of common stock of the Issuer for five trading days following the effective time of the Merger (the "Reference Period").

(2) Options fully vested.

**(1)** 

- These stock options were disposed of in connection with the Merger in exchange for Issuer stock options, the terms of which are dependent on the trading prices of common stock of the Issuer for the Reference Period and will be promptly disclosed by Issuer once they may be definitely determined.
- This option grant is exercisable at the rate of 25% of the number of shares granted on each of the first four anniversaries of the grant date.
- This option grant is exercisable at the rate of 33 1/3% of the number of shares granted on each of the first three anniversaries of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3