

BECK RICHARD P
Form 4
April 02, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BECK RICHARD P

2. Issuer Name and Ticker or Trading Symbol
ADVANCED ENERGY INDUSTRIES INC [AEIS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
1625 SHARP POINT DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
04/02/2012

Director 10% Owner
 Officer (give title below) Other (specify below)

FORT COLLINS, CO 80525

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | (A) or (D) | Price | | |
| Common Stock | 04/02/2012 | | D | 200 ⁽¹⁾ | D \$ 12.83 | 51,589 | D |
| Common Stock | 04/02/2012 | | D | 200 ⁽¹⁾ | D \$ 12.85 | 51,389 | D |
| Common Stock | 04/02/2012 | | D | 100 ⁽¹⁾ | D \$ 12.86 | 51,289 | D |
| Common Stock | 04/02/2012 | | D | 200 ⁽¹⁾ | D \$ 12.865 | 51,089 | D |
| Common Stock | 04/02/2012 | | D | 300 ⁽¹⁾ | D \$ 12.87 | 50,789 | D |

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| | | | | | | | | |
|--------------|------------|--|---|----------------|---|-----------|-------------------|---|
| Common Stock | 04/02/2012 | | D | 200 <u>(1)</u> | D | \$ 12.885 | 50,589 | D |
| Common Stock | 04/02/2012 | | D | 400 <u>(1)</u> | D | \$ 12.89 | 50,189 | D |
| Common Stock | 04/02/2012 | | D | 300 <u>(1)</u> | D | \$ 12.9 | 49,889 | D |
| Common Stock | 04/02/2012 | | D | 800 <u>(1)</u> | D | \$ 12.91 | 49,089 | D |
| Common Stock | 04/02/2012 | | D | 400 <u>(1)</u> | D | \$ 12.92 | 48,689 | D |
| Common Stock | 04/02/2012 | | D | 700 <u>(1)</u> | D | \$ 12.93 | 47,989 | D |
| Common Stock | 04/02/2012 | | D | 500 <u>(1)</u> | D | \$ 12.94 | 47,489 | D |
| Common Stock | 04/02/2012 | | D | 703 <u>(1)</u> | D | \$ 12.95 | 46,786 | D |
| Common Stock | 04/02/2012 | | D | 400 <u>(1)</u> | D | \$ 12.96 | 46,386 | D |
| Common Stock | 04/02/2012 | | D | 100 <u>(1)</u> | D | \$ 12.97 | 46,286 | D |
| Common Stock | 04/02/2012 | | D | 100 <u>(1)</u> | D | \$ 13.01 | 46,186 | D |
| Common Stock | 04/02/2012 | | D | 100 <u>(1)</u> | D | \$ 13.02 | 46,086 | D |
| Common Stock | 04/02/2012 | | D | 197 <u>(1)</u> | D | \$ 13.09 | 45,889 | D |
| Common Stock | 04/02/2012 | | D | 100 <u>(1)</u> | D | \$ 13.13 | 45,789 <u>(2)</u> | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repo |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|--|---------------------------------------|
|--|--|--------------------------------------|--|--------------------------------|--|--|---|--|---------------------------------------|

Disposed
of (D)
(Instr. 3,
4, and 5)

Trans
(Instr

| Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
|------|---|-----|-----|---------------------|--------------------|-------|--|
|------|---|-----|-----|---------------------|--------------------|-------|--|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| BECK RICHARD P 1625 SHARP POINT DRIVE FORT COLLINS, CO 80525 | | X | | |

Signatures

Thomas O. McGimpsey -
Attorney-in-Fact

04/02/2012

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares sold in accordance with 10b5-1 trading plan.

Mr. Beck has been granted a total of 44,000 RSUs in six grants: (A) 2,000 RSUs granted on May 24, 2006; (B) 16,000 RSUs granted on May 2, 2007; (C) 6,000 RSUs granted on May 7, 2008; (D) 6,000 RSUs granted on May 6, 2009; (E) 6,000 RSUs granted on May 4,

- (2) 2010. These grants vest annually in four equal installments beginning one year from grant date. (F) 8,000 RSUs granted on May 4, 2011 will vest 100% on the first anniversary of the grant date. As of this filing, 27,000 restricted stock units are vested and held as well as 3,008 shares held in street name.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.