## HARMONIC INC Form 3 November 01, 2010 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB APPROVAL FORM 3 Washington, D.C. 20549 OMB Number:

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Vasudevan Suresh				2. Date of Event Requir Statement (Month/Day/Year)		3. Issuer Name and Ticker or Trading Symbol HARMONIC INC [HLIT]					
(Last)	(	(First)	(Middle)	10/29/2010	4. Relationsh Person(s) to I	ip of Reporting Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)				
4300 NORTH FIRST STREET (Street) SAN JOSE, CA 95134					Director X Officer (give title belo		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One				
(City)	(	State)	(Zip)	Tabla I			og Do	Reporting Person neficially Owned			
1.Title of Security (Instr. 4)				2. Amou	nt of Securities ally Owned	of Securities 3.		ture of Indirect Beneficial ership . 5)			
Commo	n Stocl	k		311,73	0	D	Â				
Reminder: owned dire	~	-		ach class of securities ben	eficially	SEC 1473 (7-02	2)				
		inforn requii	nation cont red to respo	pond to the collectior ained in this form are and unless the form d MB control number.	not						
	Tab	le II - Dei	rivative Secu	rities Beneficially Owne	d ( <i>e.g.</i> , puts, calls	, warrants, opt	tions, c	convertible securities)			
1 Title of	Derivat	ive Securi	tv 2 Da	e Exercisable and 3 Ti	tle and Amount of	· 4	5	6 Nature of Indirect			

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative	Security:	
					Security	Direct (D)	
						or Indirect	

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January 31,

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Expires:

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Estimated average burden hours per

## Edgar Filing: HARMONIC INC - Form 3

				Shares		(I) (Instr. 5)	
Restricted Stock Units $\hat{A}$	(1)	( <u>1)</u>	Common Stock	315,678	\$ 0 <u>(2)</u>	D	Â
Reporting Owners	;						
Reporting Owner Name / Address	Director	10% Own	<b>Relations</b> her Officer	hips	Other		
Vasudevan Suresh 4300 NORTH FIRST STREET SAN JOSE, CA 95134	Â	Â	Presi	dent of Omr	neon Â		
Signatures							
/s/ Laura Donovan Attorney-in-F Vasudevan		11/01/2010	)				
<u>**</u> Signature of Reporting	Date						
Explanation of Reg	enon	6061					

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These Restricted Stock Units converted from Omneon, Inc. Restricted Stock Units to Harmonic Inc. Restricted Stock Units in connection with Harmonic's acquisition of Omneon, which was consummated on September 15, 2010. 24,283 shares vest on the 26th of each month.
- (2) Each restricted stock unit represents a contingent right to receive one share of Harmonic common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.