DE RAISMES ANN M

Form 4

August 09, 2005

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 3235-0287 Number:

**OMB APPROVAL** 

January 31,

2005

0.5

Expires:

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response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

1(b).

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* DE RAISMES ANN M

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

below)

Symbol

HARTFORD FINANCIAL SERVICES GROUP INC/DE [HIG]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

08/08/2005

Director 10% Owner Other (specify \_X\_\_ Officer (give title

**Executive Vice President** 

THE HARTFORD FINANCIAL SERVICES GROUP, HARTFORD

(Street)

**PLAZA** 

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### HARTFORD, CT 06115

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Secur on(A) or D (Instr. 3,	ispose 4 and (A) or	ed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Restricted Stock Units					( )		2,499.0768	D		
Restricted Stock							10,000	D		
Common Stock	08/08/2005		M(1)	7,892	A	\$ 44.759	15,553	D		
Common Stock	08/08/2005		S <u>(1)</u>	7,892	D	\$ 76.572	7,661	D		

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number opportunities Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. D So (I
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 39.3041	08/08/2005		M(1)	4,110	(2)	02/18/2010	Common Stock	4,110	3
Stock Option	\$ 55.4378	08/08/2005		M(1)	91	<u>(3)</u>	04/05/2008	Common Stock	91	5
Stock Option	\$ 44.5221	08/08/2005		M <u>(1)</u>	1,241	<u>(4)</u>	10/16/2008	Common Stock	1,241	4
Stock Option	\$ 47.642	08/08/2005		M <u>(1)</u>	1,168	<u>(5)</u>	02/21/2008	Common Stock	1,168	\$
Stock Option	\$ 59.0917	08/08/2005		M(1)	1,282	<u>(6)</u>	02/20/2009	Common Stock	1,282	5
Stock Option	\$ 56.375					<u>(7)</u>	07/21/2010	Common Stock	4,650	
Stock Option	\$ 62.07					<u>(8)</u>	02/23/2011	Common Stock	9,612	
Stock Option	\$ 65.85					<u>(9)</u>	02/23/2012	Common Stock	11,219	
Stock Option	\$ 37.37					(10)	02/22/2013	Common Stock	19,052	
Stock Option	\$ 65.99					<u>(11)</u>	02/22/2014	Common Stock	11,379	
Stock Option	\$ 71.27					(12)	02/19/2015	Common Stock	7,656	

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

DE RAISMES ANN M THE HARTFORD FINANCIAL SERVICES GROUP HARTFORD PLAZA HARTFORD, CT 06115

**Executive Vice President** 

### **Signatures**

/s/ Steven L. Bray, POA for Ann M. de Raismes by Power of Attorney of Ann M. de Raismes dated February 19, 2004

08/09/2005

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction effected pursuant to a pre-planned trading plan entered into in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934.
- (2) The option became fully exercisable as of February 18, 2003, the third anniversary of the grant date.
- (3) The option became fully exercisable as of April 5, 2001, the third anniversary of the grant date.
- (4) The option became fully exercisable as of October 16, 2001, the third anniversary of the grant date.
- (5) The option became fully exercisable as of February 21, 2001, the third anniversary of the grant date.
- (6) The option became fully exercisable as of February 20, 2002, the third anniversary of the grant date.
- On July 19, 2000, the reporting person was granted an option to purchase 6,200 shares of common stock. The option vests in four annual installments, commencing December 31, 2000, subject to the satisfaction of certain performance criteria each year, as determined by the Issuer?s compensation committee. The performance criteria in each of 2000, 2001 and 2002 were met, resulting in vesting of the option as to 4.650 shares.
- (8) The option became fully exercisable as of February 21, 2004, the third anniversary of the grant date.
- (9) The option became fully exercisable as of February 21, 2005, the third anniversary of the grant date.
- (10) Two-thirds of the option are currently exercisable and the remaining one-third of the option will become exercisable on February 20, 2006, the third anniversary of the grant date.
- One-third of the option became exercisable on February 18, 2005, an additional one-third of the option will become exercisable on (11) February 18, 2006 and the remaining one-third of the option will become exercisable on February 18, 2007, the third anniversary of the grant date.
- The option becomes fully exercisable upon the later of: (i) the closing price of the Issuer's Common Stock on the New York Stock

  (12) Exchange reaches 125% of the grant price for at least 10 consecutive trading days or (ii) February 17, 2008 (three years from the grant data)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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