Edgar Filing: ARRINGTON JOHN R - Form 4

#### ARRINGTON JOHN R

Form 4

February 14, 2003

## FORM 4

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**OMB APPROVAL** 

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

> Filed By Romeo and Dye's Section 16 Filer www.section16.net

| Name and Address of Re Arrington, John R.                   |          |  | and Ticke<br>Inc. "B"                     | er or   | 6. Relationship of Reporting<br>Person(s)<br>to Issuer (Check all applicable) |   |  |   |   |
|---|----------|--|---|---|---|---|--|---|---|
| (Last) (First)  Barnes Group Inc. P.O. Box 489, 123 Main St | of Rep   | S. Identification or ting Pointity (volume (volume)                |   | umbe  | M   | Statement for onth/Day/Year bruary 13, 2003         | Director   |   |   |
| (Street) Bristol, CT 06011-0489                             |          |  |   |   | Da  | If Amendment,<br>ate of Original<br>Ionth/Day/Year) | 7. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One Reporting Person |   |   |
| (City) (State)  |          | Table I  | Non-De                                    | rivat   | curities Acquired, Disp   | posed of, or Beneficially Owned                     |  |   |   |
| 1. Title of Security<br>(Instr. 3)                          | Date     | 2A. Deemed<br>Execution<br>Date,<br>if any<br>(Month/Day/<br>Year) | Trans-<br>action<br>Code<br>(Instr.<br>8) | 4. Securi<br>Acquirec<br>Disposec<br>(Instr. 3, | l (A)<br>l of (<br>4 &  | D)<br>5)  | 5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4)   |   | 7. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 4) |
|   |          |  |   | or<br>(D)                                       |   |   |  |   |   |
| Common Stock, Par<br>Value \$0.01 Per Share                 | 02/12/03 |  | <b>A</b> (1)                              | 20,000  |   | \$18.9  | 0 28,646.5002  | D |   |
| Common Stock, Par<br>Value \$0.01 Per Share                 |          |  |   |   |   |   | 2,162.8504 <sup>(2)</sup>  | I | By my wife  |
| Common Stock, Par<br>Value \$0.01 Per Share                 |          |  |   |   |   |   | 3,802.0304   | I | By Employee Stock<br>Purchase Plan                          |
| Common Stock, Par<br>Value \$0.01 Per Share                 |          |  |   |   |   |   | 3,677.192 <sup>(3)</sup>   | I | By 401(k) Plan  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

### Edgar Filing: ARRINGTON JOHN R - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of      | 2. Conver-    | 3. Trans- | 3A.       | 4.      | 5. Num    | ber  | 6. Date Exerc | isable   | 7. Title and   |              | 8. Price of | 9. Number of                            | 10.        | 11. Natu   |
|------------------|---------------|-----------|-----------|---------|-----------|------|---------------|----------|----------------|--------------|-------------|---|------------|------------|
| Derivative       | sion or       | action    | Deemed    | Trans-  | of        |      | and Expiratio | n        | Amount of      |              | Derivative  | Derivative                              | Owner-     | of Indire  |
| Security         | Exercise      | Date      | Execution | action  | Derivat   | ive  | Date          |          | Underlying     |              | Security    | Securities                              | ship       | Beneficia  |
|                  | Price of      |           | Date,     | Code    | Securit   | ies  | (Month/Day/   |          | Securities     |              | (Instr. 5)  | Beneficially                            | Form       | Ownersh    |
| (Instr. 3)       | Derivative    | (Month/   | if any    |         | Acquir    | ed   | Year)         |          | (Instr. 3 & 4) |              |             | Owned                                   | of Deriv-  | (Instr. 4) |
|                  | Security      | Day/      | (Month/   | (Instr. | (A) or    |      |               |          |                |              |             | Following                               | ative      |            |
|                  |               | Year)     | Day/      | 8)      | Dispos    | ed   |               |          |                |              |             | Reported                                | Security:  |            |
|                  |               |           | Year)     |         | of (D)    |      |               |          |                |              |             | Transaction(s)                          | Direct     |            |
|                  |               |           |           |         |           |      |               |          |                |              |             | (Instr. 4)                              | (D)        |            |
|                  |               |           |           |         | (Instr. 3 | 3, 4 |               |          |                |              |             | (====================================== | or         |            |
|                  |               |           |           |         | & 5)      |      |               |          |                |              |             |   | Indirect   |            |
|                  |               |           |           | Code V  |           | (D)  | Date          | Expira-  | Title          | Amount       |             |   | (I)        |            |
|                  |               |           |           | Code    | (A)       | ` /  |               | tion     | Title          | Alliount     |             |   | (Instr. 4) |            |
|                  |               |           |           |         |           |      | Exer-cisable  | Date     |                | OI<br>Number |             |   |            |            |
|                  |               |           |           |         |           |      |               | Date     |                | of           |             |   |            |            |
|                  |               |           |           |         |           |      |               |          |                | Shares       |             |   |            |            |
| G <sub>4</sub> 1 | <b>#10.13</b> | 02/12/02  |           |         | 10.000    |      | (4)           | 02/12/12 | C              |              |             | 10.000                                  |            |            |
| Stock            | \$19.12       | 02/13/03  |           | A       | 10,000    |      | (4)           | 02/13/13 | Common         | 10,000       |             | 10,000                                  | D          |            |
| Option           |               |           |           |         |           |      |               |          | Stock          |              |             |   |            |            |
| (Right to        |               |           |           |         |           |      |               |          |                |              |             |   |            |            |
| Buy)             | I             |           |           |         |           | I    |               |          | I              |              | 1           |   | I          |            |

Explanation of Responses:

- (1) Reporting Person has received 20,000 shares of Performance Accelerated Restricted Stock that is subject to forfeiture if certain events occur.
- (2) I disclaim beneficial ownership of shares held by my wife.
- (3) Represents the number of shares beneficially owned as of February 13, 2003.
- (4) The options vest at the rate of 33-1/3% on the first, third and fifth anniversaries of the grant date.

By: /s/ Signe S. Gates, Attorney-in-Fact February 13, 2003
Date

\*\*Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).