PUMA BIOTECHNOLOGY, INC. Form 8-K August 11, 2014

# **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

## WASHINGTON, DC 20549

## FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): August 11, 2014

## PUMA BIOTECHNOLOGY, INC.

(Exact Name of Registrant as Specified in its Charter)

Delaware (State or other jurisdiction 001-35703 (Commission 77-0683487 (IRS Employer

of incorporation)

File Number) 10880 Wilshire Boulevard, Suite 2150 **Identification No.**)

## Edgar Filing: PUMA BIOTECHNOLOGY, INC. - Form 8-K

## Los Angeles, California 90024

#### (Address of principal executive offices) (Zip Code)

#### (424) 248-6500

## (Registrant s telephone number, including area code)

#### N/A

#### (Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

" Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

## Item 2.02 Results of Operations and Financial Condition.

On August 11, 2014, Puma Biotechnology, Inc. issued a press release announcing its financial results for the second quarter ended June 30, 2014. A copy of the press release is furnished herewith as Exhibit 99.1 and is incorporated by reference herein.

The information in this Item 2.02, including the accompanying exhibit, is being furnished and shall not be deemed filed for the purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the Exchange Act ), or otherwise subject to the liabilities of that Section. The information in this Item 2.02 shall not be incorporated by reference into any filing pursuant to the Securities Act of 1933, as amended, or the Exchange Act, regardless of any general incorporation language in such filing.

## Item 9.01 Financial Statements and Exhibits.

- (d) Exhibits.
- 99.1 Press Release dated August 11, 2014

# SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

PUMA BIOTECHNOLOGY, INC.

Date: August 11, 2014

By: /s/ Alan H. Auerbach Alan H. Auerbach Chief Executive Officer and President

# EXHIBIT INDEX

Exhibit

No. Description

99.1 Press Release dated August 11, 2014