

STAAR SURGICAL CO
Form 3
May 01, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â Blickensdoerfer Hans-Martin		(Month/Day/Year)	STAAR SURGICAL CO [STAA]	
(Last)	(First)	(Middle)	04/29/2015	
1911 WALKER AVENUE			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
MONROVIA,Â CAÂ 91016			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			(give title below) (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
			President, Int'l Commercial Op	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	81,700 ⁽¹⁾	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	

Edgar Filing: STAAR SURGICAL CO - Form 3

				Shares		(I) (Instr. 5)	
Common Stock Options (right to buy)	Â (2)	02/09/2016	Common Stock	25,000	\$ 6.92	D	Â
Common Stock Options (right to buy)	Â (3)	04/01/2017	Common Stock	25,000	\$ 5.39	D	Â
Common Stock Options (right to buy)	Â (4)	12/14/2020	Common Stock	25,000	\$ 5.68	D	Â
Common Stock Options (right to buy)	Â (5)	03/14/2021	Common Stock	20,000	\$ 5.49	D	Â
Common Stock Options (right to buy)	Â (6)	03/01/2022	Common Stock	20,000	\$ 11	D	Â
Common Stock Options (right to buy)	Â (7)	03/03/2023	Common Stock	20,000	\$ 5.34	D	Â
Common Stock Options (right to buy)	Â (8)	03/06/2024	Common Stock	15,000	\$ 15.54	D	Â
Common Stock Options (right to buy)	Â (9)	04/06/2025	Common Stock	30,000	\$ 7.35	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Blickensdoerfer Hans-Martin 1911 WALKER AVENUE MONROVIA, CA 91016	Â	Â	Â President, Int'l Commercial Op	Â

Signatures

/s/ Samuel Gesten as attorney-in-fact for Hans-Martin
Blickensdoerfer

05/01/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes 7,500 Performance Accelerated Restricted Shares of Common Stock (PARS), subject to forfeiture rights, which lapse on March 4, 2016, but subject to accelerated lapse of the forfeiture rights as to 1/3 of the shares each year pursuant to achievement of certain performance criteria. Also includes 7,000 Restricted Shares of Common Stock (RSU), subject to forfeiture rights, which lapse as to half of the shares (3500 shares) on March 7, 2016 and half of the shares (3500 shares) on March 7, 2017. Also includes 15,000 Restricted Shares of Common Stock (RSU), subject to forfeiture rights, which lapse as to half of the shares (7500 shares) on April 7, 2017 and half of the shares (7500 shares) on April 7, 2018.
- (2) The option becomes exercisable in three equal installments beginning February 10, 2007.
- (3) The option becomes exercisable in three equal installments beginning April 2, 2008.
- (4) The option becomes exercisable in three equal installments beginning December 15, 2011.

Edgar Filing: STAAR SURGICAL CO - Form 3

- (5) The option becomes exercisable in three equal installments beginning March 15, 2012.
- (6) The option becomes exercisable in three equal installments beginning March 2, 2013.
- (7) The option becomes exercisable in three equal installments beginning March 4, 2014.
- (8) The option becomes exercisable in three equal installments beginning March 7, 2015.
- (9) The option becomes exercisable in three equal installments beginning April 7, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.