Bartlett Richard A Form 3 August 22, 2011

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

A Bartlett Richard A

(Last)

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement (Month/Day/Year)

08/11/2011

Harbor BioSciences, Inc. [HRBR.PK]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O RESOURCE HOLDINGS. LTD., 520 MADISON **AVENUE, 33RD FLOOR**

(Street)

(Check all applicable)

X Director 10% Owner Officer Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

NEW YORK. NYÂ 10022

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

> Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

Expiration Date (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying **Derivative Security**

4. 5. Conversion Ownership

Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

(Instr. 4)

Expiration Title Date Exercisable Date

Amount or Number of

Price of Derivative Derivative Security: Security Direct (D)

or Exercise

1

Edgar Filing: Bartlett Richard A - Form 3

Shares or Indirect
(I)

(Instr. 5)

Series A Preferred Stock \hat{A} $\stackrel{(1)}{=}$ \stackrel

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Bartlett Richard A C/O RESOURCE HOLDINGS, LTD. 520 MADISON AVENUE, 33RD FLOOR NEW YORK, NYÂ 10022

 \hat{A} X \hat{A} \hat{A} \hat{A}

Signatures

/s/ Richard A.
Bartlett 08/22/2011

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Under certain limited circumstances outside the control of Amun LLC, one share of the Series A Preferred Stock becomes convertible into seven shares of Common Stock. This conversion feature has no expiration date.
- The reporting person may be deemed to be a beneficial owner of the Series A Preferred Stock owned by Amun LLC due to their shared control of Amun LLC. The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2