#### GEORGE ROGER E

Form 4

February 23, 2011

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

**OMB APPROVAL** 

3235-0287

January 31, Expires:

2005

0.5

burden hours per

Estimated average

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* GEORGE ROGER E

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

ALIGN TECHNOLOGY INC [ALGN]

(Check all applicable)

Director 10% Owner Other (specify X\_ Officer (give title

C/O ALIGN TECHNOLOGY

(Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

4. If Amendment, Date Original

below) VP, Legal Affairs & Gen. Coun

02/19/2011

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

SANTA CLARA, CA 95050

INC., 881 MARTIN AVE.

Table I - Non	-Derivative	Securities /	Acquired.	Disnosed	of or	Reneficially	Owned
Table I - Non	i-Derivative i	securrues F	Acaum ea.	Disposeu	OI. OI	Denenciany	Owneu

						-	· •		~
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit on(A) or Di (Instr. 3,	sposed	of (D)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	02/19/2011	02/19/2011	C	2,834	A	\$ 0	27,390 (1)	D	
Common Stock	02/19/2011	02/19/2011	F	1,040	D	\$ 20.79	26,350	D	
Common Stock	02/20/2011	02/20/2011	С	13,104	A	\$ 0	39,454	D	
Common Stock	02/20/2011	02/20/2011	F	4,809	D	\$ 20.79	34,645	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

### Edgar Filing: GEORGE ROGER E - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	\$ 0.0001 (2)	02/19/2011	02/19/2011	C	2,834	(3)	(3)	Common Stock	2,834	\$
Restricted Stock Unit	\$ 0.0001 (2)	02/20/2011	02/20/2011	С	938	<u>(4)</u>	<u>(4)</u>	Common Stock	938	\$
Restricted Stock Unit	\$ 0.0001 (2)	02/20/2011	02/20/2011	C	2,750	<u>(5)</u>	(5)	Common Stock	2,750	\$
Restricted Stock Unit	\$ 0.0001 (2)	02/20/2011	02/20/2011	C	7,333	<u>(6)</u>	<u>(6)</u>	Common Stock	7,333	\$
Restricted Stock Unit	\$ 0.0001 (2)	02/20/2011	02/20/2011	C	2,083	<u>(7)</u>	<u>(7)</u>	Common Stock	2,083	\$

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

GEORGE ROGER E C/O ALIGN TECHNOLOGY INC. 881 MARTIN AVE. SANTA CLARA, CA 95050

VP, Legal Affairs & Gen. Coun

## **Signatures**

/s/ Roger E. 02/23/2011 George

\*\*Signature of Reporting Person Date

Reporting Owners 2

#### Edgar Filing: GEORGE ROGER E - Form 4

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 240 shares acquired under the ALGN Employee Stock Purchase Plan on January 31, 2011.
- (2) Represents par value of ALGN common stock
- 1/4th of the restricted stock unit granted on February 19, 2010 became vested on February 19, 2011 and shares were delivered to reporting person on February 22, 2011. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- (4) 1/16th of the restricted stock unit granted on February 20, 2007 became vested on February 20, 2011 and shares were delivered to reporting person on February 22, 2011.
- 1/4th of the restricted stock unit granted on February 20, 2008 became vested on February 20, 2011 and shares were delivered to
- (5) reporting person on February 22, 2011. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.
- (6) 2/3rd of the restricted stock unit granted on February 20, 2008 became vested on February 20, 2011 and shares were delivered to reporting person on February 22, 2011.
  - 1/4th of the restricted stock unit granted on February 20, 2009 became vested on February 20, 2011 and shares were delivered to
- (7) reporting person on February 22, 2011. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.