Edgar Filing: NRG ENERGY, INC. - Form 4

Form 4 November 03, 2016 OMB APPROVAL FORM 4 VUNTED STATES SECURITIES AND EXCHANCE COMMISSION The to larger section 16. Form 4 or Form 4 or Form 4 or Form 4 or Form 4 or Form 5 or Section 16. Section 17(a) of the Public Utility Holding Company Act of 1934. (1) (a) of the Public Utility Holding Company Act of 1935 or Section 30(b) of the Investment Company Act of 1935 or Section 30(b) of the Investment Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1940 (tb). Section 17(a) of the Public Utility Holding Company Act of 1936 or Section 17(a) of the Public Utility Holding Company Act of 1940 (tb). (Print or Type Responses) S. Relationship of Reporting Person (s) to Issuer Section 17(a) of the Public Utility Holding Company Act of 1940 (tb). Check all applicable) (Rast) (First) (Modulity Day Year) Check all applicable) Issuer NRG ENERGY, INC., 804 CARNEGIE CENTER (Modulity Day Year) Applicable Line) (Modulity Day Year) Approximation Action Act	NRG ENERC	GY, INC.									
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	per share										

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Reporting Owners

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
COBEN LAWRENCE S NRG ENERGY, INC. 804 CARNEGIE CENTER PRINCETON, NJ 08540	Х							
Signatures								
/s/ Brian Curci, by Power of Attorney		11/03/201	6					
**Signature of Reporting Person		Date						
Explanation of Responses:								

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Represents dividend equivalent rights accrued on the reporting person's deferred stock units, which become exercisable proportionately
 (1) with the deferred stock units to which they relate and may only be settled in NRG common stock. Each dividend equivalent right is the economic equivalent of one share of NRG common stock.
- (2) Includes 1478 dividend equivalent rights.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.