

INOVIO PHARMACEUTICALS, INC.

Form 4

March 09, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**Kim Jong Joseph**

2. Issuer Name and Ticker or Trading Symbol  
**INOVIO PHARMACEUTICALS, INC. [INO]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
**03/05/2015**

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**Chief Executive Officer**

**660 W. GERMANTOWN PIKE, SUITE 100**

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**PLYMOUTH MEETING, PA 19462**

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
| Common Stock                    |                                      |                                                    |                                | (A) or (D) Price                                                  | 1,750,000                                                                                     | I                                                        | By Family Limited Partnership                         |
| Common Stock                    |                                      |                                                    |                                | (A) or (D) Price                                                  | 1,491,453                                                                                     | D                                                        |                                                       |
| Common Stock                    |                                      |                                                    |                                | (A) or (D) Price                                                  | 5,975                                                                                         | I                                                        | By Spouse                                             |
| Common Stock                    |                                      |                                                    |                                | (A) or (D) Price                                                  | 33,775                                                                                        | I                                                        | By Son: JK1                                           |
|                                 |                                      |                                                    |                                | (A) or (D) Price                                                  | 33,563                                                                                        | I                                                        |                                                       |

Common  
Stock

By  
Daughter:  
EK

Common  
Stock

33,533 I

By Son: JK2

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount or Number of Shares |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------|----------------------------|
| Restricted Stock Unit                      | <u>(1)</u>                                             | 03/05/2015                           |                                                    | A                              | 40,000                                                                                  | <u>(1)</u> <u>(1)</u>                                    | Common Stock                                                | 40,000                     |
| Common Stock Options                       | \$ 7.56                                                | 03/05/2015                           |                                                    | A                              | 85,000                                                                                  | 03/05/2015 <sup>(2)</sup> 03/05/2025                     | Common Stock                                                | 85,000                     |

## Reporting Owners

| Reporting Owner Name / Address                                                       | Relationships |           |                         |       |
|--------------------------------------------------------------------------------------|---------------|-----------|-------------------------|-------|
|                                                                                      | Director      | 10% Owner | Officer                 | Other |
| Kim Jong Joseph<br>660 W. GERMANTOWN PIKE<br>SUITE 100<br>PLYMOUTH MEETING, PA 19462 | X             |           | Chief Executive Officer |       |

## Signatures

/s/ Jong Joseph Kim 03/09/2015

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Each restricted stock unit represents a contingent right to receive one share of common stock. The vesting schedule for the 40,000

(1) restricted stock units is as follows: 13,334 shares will vest on March 5, 2016; 13,333 shares will vest on March 5, 2017; 13,333 shares will vest on March 5, 2018. Vested units of restricted stock can be settled in shares of common stock, cash or a combination of both.

(2) The vesting schedule for the 85,000 stock options is as follows: 21,250 shares vested on March 5, 2015; 21,250 shares will vest on March 5, 2016, 21,250 shares will vest on March 5, 2017; 21,250 shares will vest on March 5, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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