### Edgar Filing: BALLY TECHNOLOGIES, INC. - Form 4

BALLY TECHNOLOGIES, INC. Form 4 September 17, 2013 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16.

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

Form 4 or

Form 5

1(b).

| 1. Name and Address of Reporting Person <u>*</u><br>Davidson Neil | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol | 5. Relationship of Reporting Person(s) to Issuer           |  |  |  |  |
|---|---|--|--|--|--|--|
|   | BALLY TECHNOLOGIES, INC.<br>[BYI]                     | (Check all applicable)                                     |  |  |  |  |
| (Last) (First) (Middle)   | 3. Date of Earliest Transaction                       | Director 10% Owner<br>X Officer (give title Other (specify |  |  |  |  |
| 6601 S. BERMUDA ROAD  | (Month/Day/Year)<br>09/13/2013                        | below) below)<br>SVP, CFO & Treasurer                      |  |  |  |  |
|   |   | Svr, CrO & Heasuler  |  |  |  |  |
| (Street)  | 4. If Amendment, Date Original                        | 6. Individual or Joint/Group Filing(Check                  |  |  |  |  |
|   | Filed(Month/Day/Year)                                 | Applicable Line)<br>_X_ Form filed by One Reporting Person |  |  |  |  |
| LAS VEGAS, NV 89119   |   | Form filed by More than One Reporting Person               |  |  |  |  |

| (City)   | (State)                                 | (Zip) Tab   | le I - Non-                                      | Derivative                                     | e Secu                       | rities Acqui         | red, Disposed of,  | or Beneficiall   | y Owned   |
|--|---|---|--|--|------------------------------|----------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3)             | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8)<br>Code V | 4. Securi<br>ord Dispo<br>(Instr. 3,<br>Amount | sed of<br>4 and<br>(A)<br>or | . ,                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock, par<br>value \$.10<br>per share | 09/13/2013                              |   | S  | 300  | D                            | \$ 74.5              | 34,476 <u>(1)</u>  | D  |   |
| Common<br>Stock, par<br>value \$.10<br>per share | 09/13/2013                              |   | S  | 6,324  | D                            | \$<br>74.5107<br>(2) | 28,152   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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**OMB APPROVAL** 

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | 7. Titl<br>Amou<br>Under<br>Secur<br>(Instr. | ınt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|--|---|---------------------|--------------------|--|--|---|--|
|   |   |   | Code V                                 |   | Date<br>Exercisable | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |

# **Reporting Owners**

| Reporting Owner Name / Address                               | Relationships |           |                      |       |  |  |  |  |
|--|---------------|-----------|----------------------|-------|--|--|--|--|
|  | Director      | 10% Owner | Officer              | Other |  |  |  |  |
| Davidson Neil<br>6601 S. BERMUDA ROAD<br>LAS VEGAS, NV 89119 |               |           | SVP, CFO & Treasurer |       |  |  |  |  |
| Signatures   |               |           |                      |       |  |  |  |  |

# Signatures

/s/Neil Davidson 09/17/2013 \*\*Signature of Date Reporting Person

**Explanation of Responses:** 

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). \*\*
- (1) Includes 102 shares acquired under the Bally Technologies, Inc. Employee Stock Purchase Plan on August 30, 2013.

The transaction was executed in multiple trades at prices from \$74.46 to \$74.54. The price above reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full (2) information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.