

Edgar Filing: CONVERIUM HOLDING AG - Form 6-K

CONVERIUM HOLDING AG  
Form 6-K  
November 14, 2003

OMB APPROVAL  
OMB Number: 3235-0116  
Expires: March 31, 2003  
Estimated average burden  
hours per response: 8

Form 6-K

SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16  
of the Securities Exchange Act of 1934

For the month of October, 2003

CONVERIUM HOLDING AG

-----  
(Translation of registrant's name into English)

Baarerstrasse 8  
CH-6300 Zug  
Switzerland

-----  
(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports  
under cover Form 20-F or Form 40-F.

Form 20-F      X      Form 40-F  
                  -----                  -----

Indicate by check mark whether the registrant by furnishing the information  
contained in this Form is also thereby furnishing the information to the  
Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes                                      No      X  
  -----                  -----

If "Yes" is marked, indicate the file number assigned to the registrant in  
connection with Rule 12g3-2(b): 82- Not Applicable

Monthly disclosure of equity securities newly created from conditional capital

Edgar Filing: CONVERIUM HOLDING AG - Form 6-K

Issuer: Converium Holding AG  
Sec. ID no.: 1'299'771  
ISIN: CH0012997711

In accordance with art. 3a of the Articles of Incorporation of Converium Holding Ltd and with para. 5.02 (conditional capital) of the checklist published as enclosure 1 of the „Reports required in order to maintain a listing" (Art. 64 - 75 KR), we inform about the creation of following share capital:

Newly created share capital

|    |   |                  |     |            |
|----|---|------------------|-----|------------|
| -- | As at end of October 2003                       | No new shares    |     |            |
| -- | As at end of previous month                     | 6'217 Shares     |     |            |
|    | Conditional capital                             | No. of shares    | CHF |            |
| -- | Reserved for Employee Participation             | 4'000'000 Shares | CHF | 40'000'000 |
| -- | Of which total exercised by end of October 2003 | 6'217 Shares     | CHF | 62'170     |
| -- | Remainder                                       | 3'993'783 Shares | CHF | 39'937'830 |

With best regards,

Converium Holding AG

-----  
Barbara Barta  
Senior Legal Counsel

-----  
Livia Gassner  
Legal Counsel

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

CONVERIUM HOLDING AG

By: /s/ Dirk Lohmann  
Name: Dirk Lohmann  
Title: CEO

By: /s/ Christian Felderer  
Name: Christian Felderer

Edgar Filing: CONVERIUM HOLDING AG - Form 6-K

Title: Group General Counsel

Date: November 14, 2003