GLENCORE INTERNATIONAL AG

Form 4

October 03, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

January 31, Expires:

OMB APPROVAL

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Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Glencore AG

2. Issuer Name and Ticker or Trading

Issuer

5. Relationship of Reporting Person(s) to

below)

Symbol

(Middle)

CENTURY ALUMINUM CO

(Check all applicable)

[CENX]

(Last) (First) 3. Date of Earliest Transaction

Director Officer (give title

X 10% Owner Other (specify

BAARERMATTSTRASSE 3, P.O. **BOX 1301**

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

(Month/Day/Year)

09/30/2017

Form filed by One Reporting Person _X_ Form filed by More than One Reporting

Person

BAAR, V8 CH-6341

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Reported

(A) Transaction(s)

Code V Amount (D) Price

or

(2)

(Instr. 3 and 4)

Common Stock

09/30/2017

 \mathbf{C} 4,715

9,976,034

 $D^{(3)}$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number ion Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ivative Expiration Date ties (Month/Day/Year) red sed of | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. I De Sec (In |
|---|---|---|---|--|--|-------|--|--------------------|---|--|--------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Series A Convertible Preferred Stock | (2) | 09/30/2017 | | C | | 47.15 | (2) | (2) | Common Stock | 4,715 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|---------|-------|--|--|
| coporating of the contract of | Director | 10% Owner | Officer | Other | | |
| Glencore AG BAARERMATTSTRASSE 3 P.O. BOX 1301 BAAR, V8 CH-6341 | | X | | | | |
| GLENCORE INTERNATIONAL AG BAARERMATTSTRASSE 3 P.O. BOX 1301 BAAR, V8 CH-6341 | | X | | | | |
| Glencore plc BAARERMATTSTRASSE 3 P.O. BOX 1301 BAAR V8 CH-6341 | | X | | | | |

Signatures

| GLENCORE AG /s/ Stephan Huber, Officer | 10/03/2017 |
|--|------------|
| **Signature of Reporting Person | Date |
| GLENCORE AG /s/ David Streule, Officer | 10/03/2017 |
| **Signature of Reporting Person | Date |
| GLENCORE INTERNATIONAL AG /s/ Eric Diedrichsen, Officer | 10/03/2017 |
| **Signature of Reporting Person | Date |
| GLENCORE INTERNATIONAL AG /s/ Robin Scheiner, Officer | 10/03/2017 |
| **Signature of Reporting Person | Date |
| GLENCORE PLC GLENCORE PLC /s/ John Burton, Company Secretary | 10/03/2017 |

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**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of Common Stock acquired upon conversion of 47.15 shares of Series A Convertible Preferred Stock on September 30, 2017.
- Each share of Series A Convertible Preferred Stock is convertible into 100 shares of Common Stock at the times and under the
- (2) circumstances described in the Certificate of Designation for the Series A Convertible Preferred Stock. The Series A Convertible Preferred Stock has no expiration date.
- The shares of Common Stock reported in Table I and the shares of Series A Convertible Preferred Stock reported in Table II are held directly by Glencore AG, a direct wholly-owned subsidiary of Glencore International AG, and indirectly by Glencore International AG and its parent, Glencore plc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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