Cryoport, Inc. Form 4 October 07, 2013

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* RATHMANN RICHARD G

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First) Cryoport, Inc. [CYRX.OB]

(Check all applicable)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director Officer (give title

10% Owner Other (specify

20382 BARENTS SEA CIRCLE

(Street)

09/27/2013

below) 6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

LAKE FOREST, CA 92630

(City)	(State)	(Zip) Tal	ble I - Non	-Derivative Se	curiti	es Acq	uired, Disposed	of, or Benefic	cially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Approximately Disposed of (Instr. 3, 4 and Amount	f (D)	red (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/27/2013		P	122,778	A	<u>(1)</u>	12,277 (3)	D	
Common Stock	09/27/2013		P	509,726	A	<u>(4)</u>	632,504 (3)	D	
Common Stock	09/27/2013		P	1,013,699	A	<u>(6)</u>	1,013,699	I	By GBR Investments, LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	ve Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. Number of tionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5	ivative Expiration Date (Month/Day/Year) quired (A) or posed of (D)		7. Title and Amount Underlying Securition (Instr. 3 and 4)	
				Code V	/ (A) (Г	Date Exercisable	Expiration Date	Title	Amou Numb Shares
Warran to Purchas Commo Stock	se \$ 0.37	09/27/2013		P	122,778	03/31/2014	09/27/2018	Common Stock	122
Warran to Purchas Commo Stock	se \$ 0.37	09/27/2013		P	509,726	03/31/2014	09/27/2018	Common Stock	509
Warran to Purchas Commo Stock	se \$ 0.37	09/27/2013		Р	1,013,699	03/31/2014	09/27/2018	Common Stock	1,01

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

RATHMANN RICHARD G 20382 BARENTS SEA CIRCLE X LAKE FOREST, CA 92630

## **Signatures**

/s/ Richard G

Rathmann 10/07/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

Reporting Owners 2

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The securities were included in the 122,778 units acquired by the reporting person pursuant to the conversion of \$22,556 of outstanding board of director's fees at an exchange rate of \$0.20 per unit. Each unit consists of one share of common stock and one warrant to purchase one share of common stock. Such conversion of outstanding board of director's fees were at the same exchange rate and on the same terms as provided by Cryoport, Inc. to certain institutional and accredited investors in a conversion of outstanding unsecured convertible promissory notes into units which closed contemporaneously with such conversion of outstanding board of director's fees.

- (2) Includes options to purchase 180,000 shares of common stock and warrants to purchase 122,778 shares of common stock.
- (3) Excludes indirect ownership by GBR Investments, LLC.
- The securities were included in the 509,726 units acquired by the reporting person pursuant to the conversion of \$101,945 of outstanding (4) unsecured convertible promissory notes into units at an exchange rate of \$0.20 per unit. Each unit consists of one share of common stock and one warrant to purchase one share of common stock.
- (5) Indirect ownership by GBR Investments, LLC.
  - The securities were included in the 1,013,699 units acquired by GBR Investments, LLC pursuant to the conversion of \$202,740 of
- (6) outstanding unsecured convertible promissory notes into units at an exchange rate of \$0.20 per unit. Each unit consists of one share of common stock and one warrant to purchase one share of common stock.
- (7) Includes options to purchase 180,000 shares of common stock and warrants to purchase 632,504 shares of common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.