Edgar Filing: WOLTZ H O III - Form 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed pure	W AENT OF CHA ssuant to Section (a) of the Public	RITIES AND EXCHANGE (ashington, D.C. 20549 NGES IN BENEFICIAL OW SECURITIES 16(a) of the Securities Exchang Jtility Holding Company Act of nvestment Company Act of 194	NERSHIP OF e Act of 1934, f 1935 or Sectior	OMB APPROVAL OMB 3235-0287 Number: January 31, 2005 Estimated average burden hours per response 0.5		
(Print or Type Responses)						
1. Name and Address of Reporting WOLTZ H O III	Symbo	er Name and Ticker or Trading EEL INDUSTRIES INC [IIIN]	f Reporting Person(s) to			
(Last) (First) (,	of Earliest Transaction	ck all applicable)			
1373 BOGGS DRIVE	(Mont) 02/17	Day/Year) 2018	_X_ Director10% Owner _X_ Officer (give titleOther (specify below) below) Chairman, President and CEO			
(Street) MOUNT AIRY, NC 27030		nendment, Date Original onth/Day/Year)	oint/Group Filing(Check One Reporting Person More than One Reporting			
(City) (State)	(Zip) T	ble I - Non-Derivative Securities Acq	uired, Disposed of,	, or Beneficially Owned		
(Instr. 3)	e 2A. Deemed Execution Date, any (Month/Day/Yea	Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price	Securities Beneficially Owned Following Reported	6. 7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4) (I) (Instr. 4)		
Common 02/17/2018 Stock		F 1,885 D ^{\$} 31.13	497,513	D		
Common Stock			113,328	Co-trustee of Trusts created by Estate of Howard O. Woltz, Jr.		
Common Stock			54,798	I Trustee of trusts for the benefit of children		

								of H. (Woltz		
Common Stock (Restricted Stock Units)	đ					33,628	D			
Common Stock	61777 I Woltz									
Reminder: R	Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.									
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)		4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	s 1 1		Securities	8. Prio Deriva Securi (Instr.	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 29.69					(1)	02/13/2028	Common Stock	14,340	
Stock Option (Right to Buy)	\$ 26.75					<u>(1)</u>	08/07/2027	Common Stock	16,094	
Stock Option (Right to Buy)	\$ 21.96					<u>(1)</u>	02/17/2025	Common Stock	6,451	
Stock Option	\$ 18.05					<u>(1)</u>	08/17/2025	Common Stock	7,657	

(Right to Buy)					
Stock Option (Right to Buy)	\$ 23.95	<u>(1)</u>	02/11/2026	Common Stock	5,754
Common Stock (Right to Buy)	\$ 37.06	<u>(1)</u>	02/07/2027	Common Stock	10,981
Common Stock (Right to Buy)	\$ 20.05	<u>(1)</u>	08/12/2024	Common Stock	7,072
Stock Option (Right to Buy)	\$ 34.49	<u>(1)</u>	08/11/2026	Common Stock	12,965

Reporting Owners

Reporting Owner Name / Address	Relationships					
i o	Director	10% Owner	Officer	Other		
WOLTZ H O III 1373 BOGGS DRIVE MOUNT AIRY, NC 27030	Х		Chairman, President and CEO			
Signatures						
James F. Petelle for H. O. Woltz III		02/20/2018				
**Signature of Reporting Person		Date				
Evaluation of Responses:						

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Options vest 1/3 annually beginning one year from grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.