## Edgar Filing: Karklin Kenneth D. - Form 4

Karklin Kenne Form 4	eth D.										
January 09, 20	)18										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB AF OMB Number:	PROVAL 3235-0287			
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). TATEMENT OF CHANGES IN BENEFICIAL OWNERS SECURITIES SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of Section 17(a) of the Public Utility Holding Company Act of 1935 30(h) of the Investment Company Act of 1940						e Act of 1934, 1935 or Section	Expires: Estimated a burden hou response				
(Print or Type Re	esponses)										
Karklin Kenneth D. Symbol			Symbol					5. Relationship of Reporting Person(s) to Issuer			
			AeroVir	onment I	nc [AVA	V]		(Check all applicable)			
	(First) (N IRONMENT, II KS DRIVE, SU	NC., 800	3. Date of (Month/D 01/05/20	-	ansaction			Director X Officer (give below) VP & Gene		Owner er (specify f EES	
	(Street)		4. If Ame	ndment, Da	te Original	l		6. Individual or Jo	int/Group Filin	g(Check	
MONROVIA	, CA 91016		Filed(Mon	th/Day/Year)	)			Applicable Line) _X_Form filed by C Form filed by M Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
	2. Transaction Date (Month/Day/Year)	e 2A. Deem Execution any (Month/Da	ed Date, if	3. Transactio Code (Instr. 8) Code V	4. Securit n(A) or Di (Instr. 3,	ties Ad sposed 4 and (A) or	cquired d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
Common Stock	01/05/2018			F	176 <u>(1)</u>		\$ 53.64	9,187	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Unde Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
Karklin Kenneth D.			VP &				
C/O AEROVIRONMENT, INC.			General				
800 ROYAL OAKS DRIVE, SUITE 210			Manager of				
MONROVIA, CA 91016			EES				
Signatures							

/s/ Kasey Hannah, Attorney-in-Fact	01/09/2018
<u>**</u> Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Disposition made pursuant to a net settlement whereby shares of stock were tendered to satisfy tax withholding obligations arising in conjunction with the vesting of previously issued restricted stock awards.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.