

TORTOISE ENERGY INDEPENDENCE FUND, INC.

Form 4

January 13, 2017

**FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
MALVEY KENNETH P2. Issuer Name and Ticker or Trading Symbol  
TORTOISE ENERGY  
INDEPENDENCE FUND, INC.  
[NDP]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☐ Officer (give title below) ☒ Other (specify below)  
Member of Investment Committee(Last) (First) (Middle)  
11550 ASH STREET, SUITE 300  
(Street)3. Date of Earliest Transaction  
(Month/Day/Year)  
09/01/20164. If Amendment, Date Original  
Filed(Month/Day/Year)6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

LEAWOOD, KS 66211

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares	12/01/2015		L V	Amount 179.486 (1) A \$ 13.3	5,634.237	D	
Common Shares	12/01/2015		L V	163.1 (1) A \$ 13.3	5,119.856	I	By spouse
Common Shares	12/01/2015		L V	1.876 (1) A \$ 13.3	58.879	I	By self as custodian of child's account
Common Shares	03/01/2016		L V	251.788 (1) A \$ 9.79	5,886.025	D	

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Common Shares	03/01/2016	L	V	<u>228.801</u> <sup>(1)</sup>	A	\$ 9.79	5,348.657	I	By spouse
Common Shares	06/01/2016	L	V	<u>190</u> <sup>(1)</sup>	A	\$ 13.55	6,076.025	D	
Common Shares	06/01/2016	L	V	<u>172.654</u> <sup>(1)</sup>	A	\$ 13.55	5,521.311	I	By spouse
Common Shares	09/01/2016	P		<u>171.349</u> <sup>(1)</sup>	A	\$ 15.51	6,247.374	D	
Common Shares	09/01/2016	P		<u>155.705</u> <sup>(1)</sup>	A	\$ 15.51	5,677.016	I	By spouse
Common Shares	12/01/2016	P		<u>170.941</u> <sup>(1)</sup>	A	\$ 15.99	6,418.315	D	
Common Shares	12/01/2016	P		<u>155.335</u> <sup>(1)</sup>	A	\$ 15.99	5,832.351	I	By spouse
Common Shares							0 <u>(2)</u>	I	By self as custodian of child's accounts

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reporting Transaction (Instr. 6)
				Code	V	(A)	(D)	Amount or Number of Shares	

## Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

Director   10% Owner   Officer   Other

MALVEY KENNETH P  
11550 ASH STREET  
SUITE 300  
LEAWOOD, KS 66211

Member of Investment Committee

## Signatures

Kenneth P.  
Malvey

01/12/2017

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Automatic reinvestment of distribution by broker not made at the Tortoise Energy Independence Fund, Inc. dividend reinvestment plan price.
- (2) Excludes 464,971 shares held by the adult child no longer living at home and in which the reporting person no longer has a reportable beneficial interest but which have been included in the reporting person's prior ownership information.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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