Edgar Filing: MONMOUTH REAL ESTATE INVESTMENT CORP - Form 4

MONMOUTH REAL ESTATE INVESTMENT CORP

Form 4

MNR

Stock

Common

November 30, 2015

| November 3 | 30, 2015 | | | | | | | | | | |
|--|---|---|--|---------------|------|---|--|-------------------------|--|--|--|
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | | OMB APPROVAL | | | |
| | UNITED | STATES SE | ECURITIES A Washington | | | NGE | COMMISSION | OMB Number | 3235-0287 | | |
| Check t if no lor subject Section | nger to STATEN 16. | STATEMENT OF CHANGES IN BENEFICIAL OWNER SECURITIES | | | | | | | January 31, 2005 ed average nours per | | |
| Form 4 Form 5 obligati may con See Inst 1(b). | Filed pur ons ntinue. Section 170 | (a) of the Pub | | lding Cor | npan | y Act | ge Act of 1934, of 1935 or Section 940 | respons on | e 0.5 | | |
| (Print or Type | Responses) | | | | | | | | | | |
| I | | | 2. Issuer Name and Ticker or Trading Symbol MONMOUTH REAL ESTATE | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| (Last) | (First) (| Middle) 3.] | 3. Date of Earliest Transaction | | | | _X_ Director 10% Owner _X_ Officer (give title Other (specify | | | | |
| 3499 RT. 9 | NORTH, SUITE | | (onth/Day/Year) /30/2015 | | | | below) | below) rman of the I | | | |
| | (Street) | | If Amendment, Ded(Month/Day/Yea | _ | ıl | | 6. Individual or . Applicable Line) _X_ Form filed by Form filed by | One Reportin | g Person | | |
| FREEHOL | LD, NJ 07728 | | | | | | Person | wore than on | e Reporting | | |
| (City) | (State) | (Zip) | Table I - Non- | Derivative | Secu | rities A | cquired, Disposed | of, or Benefi | cially Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date any (Month/Day/Y | Code (Instr. 3, 4 and 5) | | | Securities Beneficially Owned Following Reported Transaction(s) | 6. 7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4) (I) (Instr. 4) | | | | |
| MNID | | | Code V | Amount | (D) | Price | (Instr. 3 and 4) | | | | |
| MNR Common Stock | 11/30/2015 | | M | 65,000 (1) | A | \$ 8.22 | 785,866.566 | D | | | |
| MNR Common Stock | | | | | | | 33,183.072 | I | Juniper Plaza Associates | | |
| MNR | | | | | | | | | Windsor | | |

Industrial

Associates

Park

26,211.662

131,200

Ι

Ι

Edgar Filing: MONMOUTH REAL ESTATE INVESTMENT CORP - Form 4

| | Lug | ag. 10101110 | | O . , | | 55111 | | | |
|--|---|---|-------------------------|----------------------------|--|--|------------------|---|------------------------|
| MNR Common Stock | | | | | | | | Eugene W. and Gloria Landy Family Foundation | |
| MNR Common Stock | | | | | 97 | ,913.57 | I | Spouse | |
| MNR Common Stock | | | | | 19 | 2,293.62 | I | Landy & Landy Employees' Pension Plan | |
| MNR Common Stock | | | | | 13 | ,048 | I | Landy Investments, Ltd. | |
| MNR Common Stock | | | | | 22 | 5,426.819 | I | Landy & Landy Employees' Profit Sharing Plan | |
| | | | | | | | | | |
| Reminder: Ro | eport on a sepa | arate line for each clas | s of securities benefic | Person informa require | s who responation contained to respond to say a currently with the say of the | d to the coll d in this for unless the f | m are not orm | SEC 1474 (9-02) | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | | Code Securities (Month/Day | | | Date | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
| | | | | | | Date Evergisable | Expiration | Title | Amount or Number |

Code V

M

Qualified Stock Option to

Purchase

Common Stock

MNR

\$ 8.22

11/30/2015

(A)

65,000

(D)

Number

of Shares

12/12/2008 12/12/2015 Common 65,000

MNR

Stock

Exercisable

Date

Edgar Filing: MONMOUTH REAL ESTATE INVESTMENT CORP - Form 4

| Qualified Stock Option to Purchase MNR Common Stock | \$ 11.16 | 01/05/2016 | 01/05/2023 | MNR Common Stock | 65,000 |
|---|----------|------------|------------|------------------------|--------|
| Qualified Stock Option to Purchase Common Stock | \$ 8.94 | 01/03/2015 | 01/03/2022 | MNR Common Stock | 65,000 |
| Qualified Stock Option to Purchase Common Stock | \$ 10.46 | 01/03/2014 | 01/03/2021 | MNR Common Stock | 65,000 |
| Qualified Stock Option to Purchase Common Stock | \$ 9.33 | 01/03/2013 | 01/03/2020 | MNR Common Stock | 65,000 |
| Qualified Stock Option to Purchase Common Stock | \$ 8.72 | 01/03/2012 | 01/03/2019 | MNR Common Stock | 65,000 |
| Qualified Stock Option to Purchase Common Stock | \$ 7.22 | 01/05/2011 | 01/05/2018 | MNR Common Stock | 65,000 |
| Qualified Stock Option to Purchase Common Stock | \$ 7.25 | 10/20/2009 | 10/20/2016 | MNR Common Stock | 65,000 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LANDY EUGENE W

3499 RT. 9 NORTH X Chairman of the Board

FREEHOLD, NJ 07728

Signatures

Eugene W. 11/30/2015 Landy

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of Monmouth Real Estate Investment Stock Option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 4