#### Edgar Filing: REGENERON PHARMACEUTICALS INC - Form 4

#### REGENERON PHARMACEUTICALS INC

Form 4 July 17, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Estimated average

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Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

response... 0.5

See Instruction

may continue. 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Sanofi			2. Issuer Name and Ticker or Trading Symbol REGENERON PHARMACEUTICALS INC [REGN]	5. Relationship of Reporting Person(s) Issuer  (Check all applicable)  DirectorX 10% Owner			
(Last) (First) (Middle) 54, RUE LA BOETIE		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 07/15/2014	Officer (give title Delow)  Other (special below)			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
PARIS, I0 75008				Form filed by More than One Reporting Person			

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(State)

(Zip)

(City)

Table I - Non-Darive	otivo Socuritios Aca	mired Disposed of	or Beneficially Owned
Table I - Noll-Deliva	mive securines Aca	an ea. Disposea or.	or beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ansaction Date 2A. Deemed tth/Day/Year) Execution Date, if any (Month/Day/Year)		4. Securit orDisposed (Instr. 3, 4	of (D)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/15/2014		Code V	1,865 (1) (2)	A	\$ 310.1843 (1) (3)	22,077,606	I	See note (4)
Common Stock	07/15/2014		P	4,144 (1) (2)	A	\$ 311.0431 (1) (5)	22,081,750	I	See note (4)
Common Stock	07/15/2014		P	9,669 (1) (2)	A	\$ 312.2346 (1) (6)	22,091,419	I	See note (4)
	07/15/2014		P		A		22,118,467	I	

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Common Stock			27,048 (1) (2)		\$ 313.064 (1) (7)			See note (4)
Common Stock	07/15/2014	P	24,749 (1) (2)	A	\$ 314.1123 (1) (8)	22,143,216	I	See note (4)
Common Stock	07/15/2014	P	12,830 (1) (2)	A	\$ 314.9629 (1) (9)	22,156,046	I	See note
Common Stock	07/15/2014	P	12,683 (1) (2)	A	\$ 316.022 (1) (10)	22,168,729	I	See note
Common Stock	07/15/2014	P	18,772 (1) (2)	A	\$ 317.1444 (1) (11)	22,187,501	I	See note (4)
Common Stock	07/15/2014	P	8,131 (1) (2)	A	\$ 318.1141 (1) (12)	22,195,632	I	See note (4)
Common Stock	07/15/2014	P	8,409 (1) (2)	A	\$ 319.0803 (1) (13)	22,204,041	I	See note (4)
Common Stock	07/15/2014	P	800 (1)	A	\$ 319.6944 (1) (14)	22,204,841	I	See note (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	ınt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)
	Derivative				Securities			(Instr.	. 3 and 4)	
	Security				Acquired					
	•				(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date	Expiration		or	
						Exercisable	Date	Title	Number	
						Exercisable	Dute		of	
				Code V	(A) (D)				Shares	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Sanofi

54, RUE LA BOETIE X PARIS, 10 75008

# **Signatures**

/s/ John Felitti, Associate Vice President, Corporate Law, Financial & Securities Law

07/17/2014

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The number of securities reported represents an aggregate number of shares purchased in multiple market transactions over a range of purchase prices. The price reported represents the weighted average price per share. The Reporting Person undertakes to provide the staff of the SEC, the Issuer, or a stockholder of the Issuer, upon request, the number of shares purchased by the Reporting Person at each separate price within the range.
- Represents shares acquired directly by sanofi-aventis Amerique du Nord ("SAAN"). The shares were purchased pursuant to a plan intended to comply with Rule 10b5-1(c)(1)(i) under the Exchange Act, entered into with a broker on June 27, 2014.
- (3) Purchase prices range from \$309.52 to \$310.50 per share, inclusive.
  - Indirectly owned through (a) SAAN, a direct, wholly-owned subsidiary of Sanofi, and (b) Aventis Pharmaceuticals Inc. ("Aventis"), an indirect, wholly-owned subsidiary of SAAN. After giving effect to all acquisitions reported on this Form 4, the number of shares
- beneficially owned directly by SAAN and Aventis was 19,405,289 shares and 2,799,552 shares, respectively. Pursuant to the Amended and Restated Investor Agreement, dated as of January 11, 2014, by and among Sanofi, SAAN, sanofi-aventis US LLC, Aventis (collectively, the "Sanofi Parties") and the Issuer, the Sanofi Parties have agreed to vote their respective shares of the Issuer, subject to specified exceptions, in accordance with the recommendation of the Issuer's Board of Directors.
- (5) Purchase prices range from \$310.55 to \$311.52 per share, inclusive.
- (6) Purchase prices range from \$311.56 to \$312.54 per share, inclusive.
- (7) Purchase prices range from \$312.58 to \$313.57 per share, inclusive.
- (8) Purchase prices range from \$313.58 to \$314.57 per share, inclusive.
- (9) Purchase prices range from \$314.58 to \$315.56 per share, inclusive.
- (10) Purchase prices range from \$315.62 to \$316.61 per share, inclusive.
- (11) Purchase prices range from \$316.62 to \$317.60 per share, inclusive.
- (12) Purchase prices range from \$317.62 to \$318.59 per share, inclusive.
- (13) Purchase prices range from \$318.62 to \$319.55 per share, inclusive.
- (14) Purchase prices range from \$319.64 to \$319.92 per share, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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