GARRETT THOMAS L

Form 4 March 09, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

Number: 3235-0287

Synings: January 31,

Expires: 2005
Estimated average

burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

Form 5 Fil obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

	ddress of Reporting P THOMAS L (First) (M	Symbol CASTL fiddle) 3. Date of	E A M & CO [CAS] Earliest Transaction	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
1420 KENS ROAD, SUI		(Month/E) 03/07/2		Director 10% OwnerX Officer (give title Other (specify below) Pres., Total Plastics, Inc.			
	(Street)		ndment, Date Original nth/Day/Year)	6. Individual or J Applicable Line) _X_ Form filed by	One Reporting Per	rson	
OAK BROO	OK, IL 60523			Form filed by Person	More than One Rep	porting	
(City)	(State) (Z	Zip) Tabl	e I - Non-Derivative Securities Ac	quired, Disposed o	of, or Beneficiall	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	(D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock				13,327	D		
Common Stock				3,986.27	I	Through 401(k) Plan (1)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Edgar Filing: GARRETT THOMAS L - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Date (Month/Day/Year	•	7. Title and A Underlying S (Instr. 3 and	Securit
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Shar
Restricted Stock Units	(2)	03/07/2012		A	3,700	12/31/2014(3)	12/31/2014(3)	Common Stock	3,7

Reporting Owners

Donorting Owner Name / Address	Relationships

Officer Director 10% Owner Other

GARRETT THOMAS L 1420 KENSINGTON ROAD Pres., Total **SUITE 220** Plastics, Inc. OAK BROOK, IL 60523

Signatures

Robert J. Perna, 03/09/2012 Attorney-in-Fact

**Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Between November 30, 2011 and February 29, 2012, the reporting person acquired 669.29 shares of A.M. Castle & Co. common stock **(1)** under the A.M. Castle & Co. 401(k) Plan. The information in this report is based upon a plan statement dated as of February 29, 2012.
- Each restricted stock unit represents a contingent right to receive one share of common stock of A.M. Castle & Co. **(2)**
- The restricted stock units vest in full on December 31, 2014, provided the reporting person is employed by A.M. Castle & Co. on the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2