Scharm Anne Form 3 March 09, 2012

# FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Scharm Anne

(Last)

(First) (Middle) 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

03/08/2012

CASTLE A M & CO [CAS]

(Check all applicable)

VP - Human Resources

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

1420 KENSINGTON ROAD, Â SUITE 220

(Street)

Director \_X\_\_ Officer (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

OAK BROOK, ILÂ 60523

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form: Direct (D)

Table I - Non-Derivative Securities Beneficially Owned

Ownership (Instr. 5)

10% Owner

Other

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration 3. Title and Amount of Date (Month/Day/Year)

Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Exercisable Expiration Date

Title

Amount or Number of Shares

Derivative Security

Direct (D) or Indirect

(I)

1

|                        |               |               |                 |       |               | (Illstr. 3) |   |
|------------------------|---------------|---------------|-----------------|-------|---------------|-------------|---|
| Restricted Stock Units | 12/31/2013(2) | 12/31/2013(2) | Common<br>Stock | 350   | \$ <u>(1)</u> | D           | Â |
| Restricted Stock Units | 12/31/2014(3) | 12/31/2014(3) | Common          | 4,600 | \$ <u>(1)</u> | D           | Â |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                      |       |  |
|---|---------------|-----------|----------------------|-------|--|
| <b>Fg</b>   | Director      | 10% Owner | Officer              | Other |  |
| Scharm Anne<br>1420 KENSINGTON ROAD<br>SUITE 220<br>OAK BROOK, IL 60523 | Â             | Â         | VP - Human Resources | Â     |  |

# **Signatures**

Robert J. Perna,
Attorney-in-Fact

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of common stock of A.M. Castle & Co.
- (2) The restricted stock units vest in full on December 31, 2013, provided the reporting person is employed by A.M. Castle & Co. on the vesting date.
- (3) The restricted stock units vest in full on December 31, 2014, provided the reporting person is employed by A.M. Castle & Co. on the vesting date.

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#### **Remarks:**

Exhibit List:

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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