Edgar Filing: Erck Stanley C - Form 4

Erck Stanley C Form 4	10									
November 30, 20 FORM 4		TATES		ITIES Al hington, 1			NGE (COMMISSION		PROVAL 3235-0287
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations	STATEM Filed purs	MENT OF CHANGES IN BENEFICIAL OWNERSHIP SECURITIES rsuant to Section 16(a) of the Securities Exchange Act of 192 (a) of the Public Utility Holding Company Act of 1935 or Se						e Act of 1934,	Expires: January 31 2005 Estimated average burden hours per response 0.5	
may continue. <i>See</i> Instruction 1(b).	Section 17(a)			/estment (•	· ·			n	
(Print or Type Respor	nses)									
1. Name and Address Erck Stanley C	s of Reporting P	erson <u>*</u>	Symbol	Name and (ST PHA				5. Relationship of Issuer (Chec	Reporting Pers	
(Last) (4505 EMPEROR 200	. , ,	iddle) ITE	L	Earliest Tra ay/Year)	insaction			X Director Officer (give below)		Owner er (specify
(5	Street)			ndment, Dat h/Day/Year)	e Original			6. Individual or Jo Applicable Line) _X_ Form filed by 0	-	-
DURHAM, NC 2	27703							Form filed by M Person	Iore than One Re	porting
(City) (S	State) (2	Zip)	Table	e I - Non-Do	erivative S	Securi	ties Acq	uired, Disposed of	, or Beneficial	ly Owned
	ransaction Date onth/Day/Year)	Execution any		3. Transactio Code (Instr. 8)		isposed	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Common 11/2 Stock	28/2018			Code V M	Amount 8,333	(D) A	Price \$ 1.49	(Instr. 3 and 4) 48,333	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Share
Non-Qualified Stock Option	\$ 1.49	11/28/2018		М	8,333	01/22/2009	12/22/2018	Common Stock	8,3

Reporting Owners

Reporting Owner Name / Address	Relationships							
I O	Director	10% Owner	Officer	Other				
Erck Stanley C 4505 EMPEROR BLVD. SUITE 200 DURHAM, NC 27703	X							
Signatures								
/s/ Alane P. Barnes, by power of attorney		11/30/2018						
<u>**</u> Signature of Reporting Person		Da						
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Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.