Lindekugel Jon T Form 4 February 08, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

OMB Number: 3235-0287

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

Form 5 File obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * Lindekugel Jon T			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
			3M CO [MMM]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	( The state of the		
			(Month/Day/Year)	Director 10% Owner		
3M CENTER			02/06/2018	_X_ Officer (give title Other (specify		
01/1 021 (1211			02/00/2010	below) below)		
				Senior Vice President		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		

Filed(Month/Day/Year)

#### ST. PAUL, MN 55144-1000

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative Se	curitie	es Acqu	iired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			Securities Ownership Indirect Beneficially Form: Direct Beneformed (D) or Ownership Indirect Beneficially	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	02/06/2018		A	2,766.74 (1)	A	\$0	4,622.2235	D	
Common Stock	02/06/2018		F	81.614	D	\$0	4,540.6095 <sup>(2)</sup>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Applicable Line)

Person

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

### Edgar Filing: Lindekugel Jon T - Form 4

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	ate, if TransactionDerivative Code Securities /Year) (Instr. 8) Acquired (A		de Securities (Month/Day/Year) str. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4,		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Nun of S
Non-qualified Stock Option (Right to Buy)	\$ 233.63	02/06/2018		A	16,828	02/06/2019	02/04/2028	Common Stock	16,

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
<b></b>	Director	10% Owner	Officer	Other			
Lindekugel Jon T							
3M CENTER			Senior Vice President				
ST. PAUL, MN 55144-1000							

### **Signatures**

/s/ Sheila B. Claugherty, attorney-in-fact for Jon T.
Lindekugel 02/08/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Represents shares of 3M common stock that will be delivered pursuant to the terms of a performance share award made to the reporting person on March 2, 2015 and May 1, 2017. The performance-based vesting requirements applicable to such award were satisfied on the
- (1) date reported in Column 2 above, which represents the date on which the level of performance attained was certified. The reporting person previously elected to defer receipt of the shares and will not have voting or investment powers with respect to these shares until they are issued. The number of shares withheld for FICA taxes was estimated and will be revised by amendment, if necessary.
- (2) Includes shares acquired under 3M's General Employee Stock Purchase Plan.
- (3) This option becomes exercisable in equal installments on each of the first three anniversaries of the grant date (2/6/2018).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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