

BERG JEFFREY

Form 4

September 21, 2017

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BERG JEFFREY**

(Last) (First) (Middle)

**C/O DELPHI ASSET MGMT  
CORPORATION, 5525 KIETZKE  
LANE, SUITE 200**

(Street)

**RENO, NV 89511**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

**ORACLE CORP [ORCL]**

3. Date of Earliest Transaction  
(Month/Day/Year)

**09/20/2017**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             |                                         | (A)<br>or<br>(D)                                                        | Price                                                                                                              |                                                                            |                                                                   |
| Common<br>Stock                       | 09/20/2017                              |                                                             | M                                       | 44,836                                                                  | A \$ 26.47                                                                                                         | 217,636                                                                    | I Indirect<br>Ownership<br>(1)                                    |
| Common<br>Stock                       | 09/20/2017                              |                                                             | S                                       | 44,836                                                                  | D \$<br>(2) 48.0258                                                                                                | 172,800                                                                    | I Indirect<br>Ownership<br>(1)                                    |
| Common<br>Stock                       | 09/21/2017                              |                                                             | M                                       | 164                                                                     | A \$ 26.47                                                                                                         | 172,964                                                                    | I Indirect<br>Ownership<br>(1)                                    |
| Common                                | 09/21/2017                              |                                                             | S                                       | 164                                                                     | D \$                                                                                                               | 172,800                                                                    | I Indirect                                                        |

# Edgar Filing: BERG JEFFREY - Form 4

Stock 48.1129 Ownership  
(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                    | 8. Amount<br>or<br>Number<br>of Shares |                                     |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|---------------------------------------------------------------------|--------------------|----------------------------------------|-------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V                                                                                                            | (A)                                                            | (D) | Date<br>Exercisable                                                 | Expiration<br>Date | Title                                  | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option                                     | \$ 26.47                                                           | 09/20/2017                              |                                                             | M                                    |                                                                                                              | 44,836                                                         |     | <u>(3)</u>                                                          | 05/31/2022         | Common<br>Stock                        | 44,836                              |
| Stock<br>Option                                     | \$ 26.47                                                           | 09/21/2017                              |                                                             | M                                    |                                                                                                              | 164                                                            |     | <u>(3)</u>                                                          | 05/31/2022         | Common<br>Stock                        | 164                                 |

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

BERG JEFFREY  
C/O DELPHI ASSET MGMT CORPORATION  
5525 KIETZKE LANE, SUITE 200  
RENO, NV 89511

X

## Signatures

/s/ Lori A. Clancy by Lori A. Clancy, Attorney in Fact for Jeffrey Berg (POA filed 4/12/16)

09/21/2017

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 5,000 shares are held indirectly by spouse; 86,283 shares are held in a GRAT and 81,517 are held in a Trust.

(2)

## Edgar Filing: BERG JEFFREY - Form 4

This transaction was executed in multiple trades at prices ranging from \$48.00 to \$48.1473. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares and prices at which the transaction was effected.

(3) Option vests 25% annually on anniversary of grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.