#### FIDELITY SOUTHERN CORP

Form 4 July 20, 2016

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington D.C. 20549

Washington, D.C. 20549
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

ion 16.

14 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

may continue. *See* Instruction 1(b).

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

MILLER JAMES B JR

2. Issuer Name **and** Ticker or Trading

Symbol

FIDELITY SOUTHERN CORP

[LION]

(Last) (First) (Middle)

(Month/Day/Year)

3490 PIEDMONT ROAD, SUITE 1550

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

3. Date of Earliest Transaction

07/18/2016

(Check all applicable)

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Chairman / Executive Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

Person

Issuer

ATLANTA, GA 30305

Southern

Corporation

- Common Stock

(City)	(State) (Z	Table Table	I - Non-De	erivative S	Securi	ties Acqu	ired, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Fidelity Southern Corporation - Common Stock	07/18/2016		F(1)	6,361	D	\$ 16.56	2,749,553	D	
Fidelity									

12,456.3455 I

Ι

857

By 401(k)

(2)(3)(4)

### Edgar Filing: FIDELITY SOUTHERN CORP - Form 4

		J	o o						
Fidelity Souther Corpora - Comm Stock	rn ation							By Grandchild - N.p. Miller	d
Fidelity Souther Corpora - Comm Stock	rn ation					246,443.33	574 I	By Shares Held By Ltd Partnershi	
Fidelity Souther Corpora - Comm Stock	rn ation					247,671.7	4 I	By Trust - Family	
Fidelity Souther Corpora - Comm Stock	rn ation					106,454.59	982 I	By Trust - Spouse Estate	
Reminder	r: Report on a sej	parate line for each cla	ass of securities benef	Persor inform require	ns who res ation con ed to resp ys a curre	or indirectly. spond to the coltained in this for ond unless the ontity valid OMB of	rm are not form	SEC 1474 (9-02)	
			ative Securities Acq puts, calls, warrants				ned		
1. Title of Derivativ Security (Instr. 3)		3. Transaction Date (Month/Day/Year)		4. 5. TransactionNumber Code of (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right t Buy)	\$ 9					12/21/2013(5)	12/21/2017	Fidelity Southern Corporation - Common Stock	50,000

#### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

MILLER JAMES B JR

3490 PIEDMONT ROAD SUITE 1550

ATLANTA, GA 30305

#### **Signatures**

Faith Hynes, Attorney in Fact for James B.

Miller, Jr.

07/20/2016

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Shares withheld for tax obligations (vested restricted shares)
- (2) Not Active Footnote 8/26/04
- (3) Purchased 2200.4284 shares of Fidelity Southern Corporation stock in the 401(k) Plan at various times and prices during 2004.
- (4) Purchased 3,254 shares through Fidelity Southern Corporation 401(k) Plan @ various times and prices during 2003
- **(5)** 16,667 on 12/21/2013; 16,666 on 12/21/2014; 16,666 on 12/21/2015

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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