

WILLIAMS SONOMA INC

Form 3

June 05, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Brett James W. Jr.

(Last) (First) (Middle)

3250 VAN NESS AVE.

(Street)

SAN

FRANCISCO, CA 94109

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

05/29/2015

3. Issuer Name and Ticker or Trading Symbol
WILLIAMS SONOMA INC [WSM]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)

PRESIDENT WEST ELM
BRAND

5. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

21,251

D

A

Common Stock

1,838

I

By Managed Account ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	Â (2)	Â (3)	Common Stock	20,043	\$ (4)	D	Â
Restricted Stock Units	Â (5)	Â (3)	Common Stock	16,882	\$ (4)	D	Â
Restricted Stock Units	Â (6)	Â (3)	Common Stock	23,973	\$ (4)	D	Â
Restricted Stock Units	Â (7)	Â (3)	Common Stock	22,872	\$ (4)	D	Â
Restricted Stock Units	Â (7)	Â (3)	Common Stock	13,070	\$ (4)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Brett James W. Jr. 3250 VAN NESS AVE. SAN FRANCISCO, CA 94109	Â	Â	Â PRESIDENT WEST ELM BRAND	Â

Signatures

/s/ David King, Attorney-in-Fact for James W. Brett
06/05/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the number of shares held by the reporting person in the Williams-Sonoma, Inc. Stock Fund under the Williams-Sonoma, Inc. 401(k) Plan, based on a statement dated June 4, 2015.
- (2) These restricted stock units will vest on 4/16/2016. Vesting of these units is subject to a performance metric.
- (3) The restricted stock units are cancelled upon vesting and delivery of shares of WSM common stock.
- (4) Each restricted stock unit represents a contingent right to receive one share of WSM common stock.
- (5) These restricted stock units will vest in two equal parts on 4/26/2016 and 4/26/2017. Vesting of these units is subject to a performance metric.
- (6) These restricted stock units will vest in three equal parts on 4/22/2016, 4/22/2017, and 4/22/2018. Vesting of these units is subject to a performance metric.
- (7) These restricted stock units will vest in four equal parts on 4/20/2016, 4/20/2017, 4/20/2018, and 4/20/2019. Vesting of these units is subject to a performance metric.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.