3M CO Form 4 February 05, 2015

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

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Section 16. Form 4 or Form 5 obligations may continue.

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Ashish Khandpur K Issuer Symbol 3M CO [MMM] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify X\_ Officer (give title 3M CENTER 02/03/2015 below) Sr. VP, Chief Tech. Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ST. PAUL, MN 55144-1000 Person

(City)	(State)	(Zip) Ta	ble I - Non	-Derivative Se	ecuriti	ies Acquire	ed, Disposed of, o	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities of Disposed of (Instr. 3, 4 an	D)	red (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/03/2015		A	2,115.244 (1)	A	\$ 0	2,939.244	D	
Common Stock	02/03/2015		F	733 (1)	D	\$ 165.94	2,206.244 (2)	D	
Common Stock							714 (3)	I	Spouse 401k/paesop

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Nun of S
Non-qualified Stock Option (Right to Buy)	\$ 165.94	02/03/2015		A	25,445	02/03/2016	02/03/2025	Common Stock	25,
Restricted Stock Units	<u>(5)</u>	02/03/2015		A	133	<u>(6)</u>	<u>(6)</u>	Common Stock	1

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
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Director 10% Owner Officer Other

Ashish Khandpur K 3M CENTER ST. PAUL, MN 55144-1000

Sr. VP, Chief Tech. Officer

## **Signatures**

/s/ Sheila B. Claugherty, attorney-in-fact for Ashish K. Khanpur

02/05/2015

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction reflects the imminent delivery of 2115.244 shares of 3M Common Stock earned as the result of the vesting of the 2012 (1) performance shares awarded to the reporting person under the 3M Long-Term Incentive Plan. The number of shares withheld for taxes
- (2) Includes shares acquired under 3M's General Employee Stock Purchase Plan.
- (3) Includes shares acquired pursuant to the 3M Voluntary Investment Plan.

are estimated and will be revised by amendment, if necessary.

- (4) This option becomes exercisable in equal installments on each of the first three anniversaries of the grant date (2/3/2015).
- (5) Each restricted stock unit represents a contingent right to receive one share of 3M common stock.
- (6) The restricted stock units will vest 100% on 2/3/2018.

Reporting Owners 2

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