Edgar Filing: 3M CO - Form 4

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Washington, D.C. 20549 OMB APPROVAL Washington, D.C. 20549 Check this box if no longer subject to Section 16.6 Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB Section 16.6 Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Check this box if no longer subject to Securities Exchange Act of 1934, othgations may contine. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Check this box if no longer subject to Securities Exchange Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Statemated average burden hours per response. 0.5 1. Name and Address of Reporting Person (b). 2. Issuer Name and Ticker or Trading Symbol 3M CO [MMM] 5. Relationship of Reporting Person(s) to Issuer Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/DayYear) Check all applicable)	3M CO Form 4 February 06,	. 2014										
Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Subject to Subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Statement of the public Utility Holding Company Act of 1934, obligations may continue. See Instruction Solution 16(a) of the Securities Exchange Act of 1934, obligations see Instruction Solution 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). I. Name and Address of Reporting Person _ 10(b). S. Relationship of Reporting Person(s) to Issuer Symbol 30(h) of the Investment Company Act of 1940 10(b). (Crive (Image Colspan="2">Image Colspan="2">Officer (give tile 	FORM	$14_{\rm UNITED ST}$	ATES SECH	DITIES A	ND EV	CILA	NCE	COMMISSIO	NT CONT	B APPROVAL		
Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). (Print or Type Responses) 1. Name and Address of Reporting Person ¹ 2. Issuer Name and Ticker or Trading Symbol 3M CO [MMM] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) (City) (State) (Zip) Table I - Non-Derivative Securities Acquired (A) or Securities Acquired (A) or Code V Amount (D) Price (Instr. 3) (Month/Day/Year) (Month/Day/Year) (Instr. 3) (Month/Day/Year) (Month/Day/Year) (Instr. 3) (Month/Day/Year) (City) (State) (Zip) Table I - Non-Derivative Securities Acquired (A) or Securities Acquired (A) or Code V Amount (D) Price (Instr. 3) (Month/Day/Year) (Instr. 4) (Instr. 4) (Antry Amount (D) Price (Antry Amount (D) P		UNITED SI					INGE	COMINISSIO	0	3235-0287		
subject to subject to Section 16. STATEMENT OF CHANCES IN BENEFICIAL OWNERSHIP OF Section 16. 2003 form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. 5. Filed pursuant to Section 16(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Sec Instruction 1(b). 0.5 (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol 3M CO [MMM] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)				8,						-		
Section 16. SECURITIES burden hours per response 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person. (Print or Type Responses) 1. Name and Address of Reporting Person. (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) (Street) 5. Amount of 6. or Beneficially Owned (Citry) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Tritle of 2. Transaction Date (Zip) (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) Transaction(s) (Instr. 4) Tra			NT OF CHAN			ICIA	LOV	VNERSHIP O	F .	2005		
Form 5 obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 1 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Print or Type Responses) 3M CO [MIM] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) (Last) (First) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) -X. Form filed by One Reporting Person -X. Form filed by One Reported -X. Form filed Differe (inte			SECURITIES							hours per		
obligations may continue. See Instruction 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses)			ant to Section 1	6(a) of th	e Securi	ties E	Exchar	ige Act of 1934	•	sponse 0.5		
See Instruction 1(b). 30(h) of the Investment Company Act of 1940 (Print or Type Responses) . 1. Name and Address of Reporting Person 1 HENKEL HERBERT L 2. Issuer Name and Ticker or Trading Symbol 3M CO [MMM] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Check all applicable) 16964 VERONA LANE 02/04/2014		ns Section 17(a)						-				
(Print or Type Responses) 1. Name and Address of Reporting Person ⁺ . 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer HENKEL HERBERT L Symbol 3M CO [MMM] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) -X Director -10% Owner 16964 VERONA LANE 02/04/2014 -X Director -10% Owner -00ffcer (give title			30(h) of the Ir	vestment	Compar	iy Ac	t of 19	940				
1. Name and Address of Reporting Person _ HENKEL HERBERT L 2. Issuer Name and Ticker or Trading Symbol	1(b).											
HENKEL HERBERT L Symbol 3M CO [MMM] Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) 16964 VERONA LANE 02/04/2014 -XDirector below) -Month/Carpet Composition -Month/Carpet Composition (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) -XForm filed by One Reporting Person Form filed by One Reporting Person Form filed by One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security 2. Transaction Date (Month/Day/Year) 2A. Deemed any (Month/Day/Year) 3. 4. Securities TransactionAcquired (A) or Code 5. Amount of Disposed of (D) Disposed of (D) 6. 7. Nature of Securities Ownership Following 6. More than One Securities Ownership Indirect (I) 7. Nature of Monter Code (A) Or Code Disposed of (D) Ownerd Securities Downed 5. Amount of Oownership 6. 7. Nature of Securities (A) Or Code Disposed of (D) Owned Ownership Following Indirect (I) Beneficially Ownership	(Print or Type I	Responses)										
HENKEL HERBERT L Symbol 3M CO [MMM] Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) 16964 VERONA LANE 02/04/2014 -XDirector below) -10% Owner 												
Image: Street of the second				r Name and	l Ticker or	Tradi	ng					
(Last) (First) (Middle) 3. Date of Earliest Transaction (Last) (First) (Middle) 3. Date of Earliest Transaction 16964 VERONA LANE (Month/Day/Year) $-X$ Director $$ Monter (specify below) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) NAPLES, FL 34110 $-X$ Form filed by One Reporting Person $-X$ Form filed by One Reporting Person (City) (Street) 2. Transaction Date 3. 4. Securities 5. Amount of 6. or Security 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. or Nonership 7. Nature of Security (Month/Day/Year) 2A. Deemed 3. 4. Securities 5. Amount of 6. or Nonership 7. Nature of (Instr. 3) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Ownership Indirect (A) or (Instr. 4) Transaction(s) (Instr. 4) Transaction(s) Transaction(s) (Instr. 4) Transaction(s) Transaction(s) (Instr. 4) Transaction(s) (Linstr. 4) Or Code V Am	TENKEL F	IEKDEKIL	-					155401				
16964 VERONA LANE (Month/Day/Year) 02/04/2014	(Lost)	(Eirot) (Mid						(Cł	neck all applic	able)		
16964 VERONA LANE 02/04/2014 Officer (give titleOther (specify below) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 	(Last)	(Plist) (Mid	,		ransaction			X Director		10% Owner		
(Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) 				-								
Filed(Month/Day/Year) Applicable Line) X. Form filed by One Reporting Person NAPLES, FL 34110		(Street)	4. If Am	endment. Da	ate Origina	1		,	ŕ			
APLES, FL 34110 — Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities 5. Amount of Securities 6. 7. Nature of Ownership Indirect (Instr. 3) (Month/Day/Year) (Month/Day/Year) 3. 4. Securities 5. Amount of Ownership Indirect 6. 7. Nature of Ownership Indirect (Instr. 3) (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned (D) or Ownership Indirect (I) (Instr. 4) (Month/Day/Year) Code V Amount (D) Price or (Instr. 3 and 4) (Instr. 3 and 4)		``			-	•			-			
INAPLES, FL 54110 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities 5. Amount of Securities 6. 7. Nature of Ownership Indirect (Instr. 3) (Month/Day/Year) Execution Date, if any (Month/Day/Year) 3. 4. Securities 5. Amount of Ownership Indirect (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned (D) or Ownership Following Indirect (I) (Instr. 4) (A) or (Instr. 3 and 4) (Instr. 3 and 4)												
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)3.4. Securities TransactionAcquired (A) or Disposed of (D)5. Amount of Securities6.7. Nature of OwnershipIndirect (Instr. 3)any (Month/Day/Year)Code (Instr. 8)Disposed of (D) (Instr. 3, 4 and 5)Beneficially Form: DirectForm: Direct BeneficiallyIndirect (I) (Instr. 4)(Instr. 8)(Instr. 3, 4 and 5)Owned (D) or (Instr. 4)(D) or Transaction(s) (Instr. 3 and 4)	NAPLES, F	L 34110							, 1.1010 tiltail 01	e reporting		
Security (Instr. 3) (Month/Day/Year) (Instr. 3) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 8) (Instr. 3, 4 and 5) (A) (A) (A) (A) (Instr. 4) (Instr. 4) (I	(City)	(State) (Zi	^{p)} Tab	le I - Non-I	Derivative	Secur	ities A	cquired, Disposed	of, or Benefi	icially Owned		
(A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price	Security	(Month/Day/Year) E	Execution Date, if	TransactionAcquired (A) or Code Disposed of (D))	Securities Beneficially Owned Following	Ownership Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership		
Code V Amount (D) Price (Instr. 3 and 4)								Transaction(s)	(11301. 4)			
				Code V	Amount		Price	(Instr. 3 and 4)				
Common Stock $02/04/2014$ A $250 (1)$ A $\$ 0$ $21,840 (2)$ IBy Corporation	Common Stock	02/04/2014		А	250 <u>(1)</u>	А	\$0	21,840 (2)	Ι	By Corporation		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: 3M CO - Form 4

vative rity	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transac Code (Instr. 8	etion 3)	5. 6. Date Exercisable an orNumber Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr	
			Code '		ŕ		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships									
1	Director	10% Owner	Officer	Other						
HENKEL HERBERT L 16964 VERONA LANE NAPLES, FL 34110	Х									
Signatures										
George Ann Biros, attorney-in- Henkel	02/06/2014									

**Signature of Reporting Person

Date

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This non-employee director has elected to defer all or a portion of compensation otherwise payable in cash or stock to a common stock (1) equivalents account under the terms of 3M's Compensation Plan for Non-employee Directors and has no voting or investment powers with respect to such account.

(2) Includes acquisition of deferred dividend reinvestment shares pursuant to 3M's Compensation Plan for Non-Employee Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.