FIDELITY SOUTHERN CORP

Form 4

January 13, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading

Issuer

below)

BOCKEL DAVID R

FIDELITY SOUTHERN CORP

(Check all applicable)

5. Relationship of Reporting Person(s) to

[LION]

(Month/Day/Year)

01/10/2014

Symbol

(Middle)

(Last) (First) 3. Date of Earliest Transaction

X_ Director Officer (give title

10% Owner Other (specify

3490 PIEDMONT ROAD, SUITE

(Street)

1550

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Price

(D)

ATLANTA, GA 30305

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) or 5. Amount of 7. Nature

Code V

Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year) TransactionDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Securities Beneficially Owned **Following**

Ownership of Indirect Form: Beneficial Ownership Direct (D) or Indirect (Instr. 4)

(A) or Amount

Reported (I) Transaction(s)

(Instr. 4) (Instr. 3 and 4)

Fidelity Southern

Corporation 01/10/2014 $A^{(1)}$ 30.9259 A

33,057.2516 D

Stock

- Common

Fidelity Southern

Corporation

4,266

By Ira

- Common Stock

290

I

Ι

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Fidelity By Southern Spouse

Corporation - Common

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transactio	5. orNumber	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities
Security	or Exercise	•	any	Code	of	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	
	Derivative				Securities	3	
	Security				Acquired		
					(A) or		
					Disposed		
					of (D)		
					(Instr. 3,		
					4, and 5)		
							Amount

Date Exercisable Expiration Date Title

Date

of Shares

Number

6,666

or

Code V (A) (D)

Stock Option (Right to Southern Southern Southern Southern Southern Southern Corporation Southern Corporation Southern Southern

Relationships

- Common Stock

Reporting Owners

Reporting Owner Name / Address	•						
	Director	10% Owner	Officer	Other			
ROCKEL DAVID R							

3490 PIEDMONT ROAD SUITE 1550

X

ATLANTA, GA 30305

Signatures

Buy)

Barbara McNeill, Attorney in Fact, David R.

Bockel

01/11/2014

**Signature of Reporting Person Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares awarded for service as a Director during the previous month.
- (2) Exercisable: 1/3 on 1/19/13; 1/3 on 1/19/14; 1/3 on 1/19/15

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.