SUN WILLIAM H Form 4

December 12, 2007

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL OMB** 

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Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

| 1. Name and Address of Reporting Person * SUN WILLIAM H |          |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer |  |  |
|---|----------|----------|--|--|--|--|
|   |          |          | FUEL TECH, INC. [FTEK]                             | (Check all applicable)                           |  |  |
| (Last)  | (First)  | (Middle) | 3. Date of Earliest Transaction                    |  |  |  |
|   |          |          | (Month/Day/Year)                                   | Director 10% Owner                               |  |  |
| C/O FUEL TECH, 512                                      |          |          | 12/12/2007   | X Officer (give title Other (specify             |  |  |
| KINGSLAND DRIVE   |          |          |  | below) below)  Vice President                    |  |  |
|   |          |          |  | vice i resident                                  |  |  |
|   | (Street) |          | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Check        |  |  |
|   |          |          | Filed(Month/Day/Year)                              | Applicable Line)                                 |  |  |
|   |          |          |  | _X_ Form filed by One Reporting Person           |  |  |
| BATAVIA, IL 60510                                       |          |          |  | Form filed by More than One Reporting Person     |  |  |
| (City)  | (State)  | (Zip)    | Table I - Non-Derivative Securities Ac             | quired, Disposed of, or Beneficially Owner       |  |  |

| (,)           | Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                    |            |            |       |       |                  |              |            |
|---------------|--|--------------------|------------|------------|-------|-------|------------------|--------------|------------|
| 1.Title of    | 2. Transaction Date  |                    | 3.         | 4. Securi  |       |       | 5. Amount of     | 6. Ownership |            |
| Security      | (Month/Day/Year)   | Execution Date, if | Transactio | nAcquired  | (A) o | r     | Securities       | Form: Direct | Indirect   |
| (Instr. 3)    |  | any                | Code       | Disposed   | of (D | )     | Beneficially     | (D) or       | Beneficial |
|               |  | (Month/Day/Year)   | (Instr. 8) | (Instr. 3, | 4 and | 5)    | Owned            | Indirect (I) | Ownership  |
|               |  |                    |            |            |       |       | Following        | (Instr. 4)   | (Instr. 4) |
|               |  |                    |            |            |       |       | Reported         |              |            |
|               |  |                    |            |            | (A)   |       | Transaction(s)   |              |            |
|               |  |                    |            |            | or    |       | (Instr. 3 and 4) |              |            |
|               |  |                    | Code V     | Amount     | (D)   | Price | ()               |              |            |
| Common shares | 12/12/2007   |                    | P          | 1,000      | A     | \$ 22 | 2,000            | D            |            |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, |                     |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     | 8. Pr<br>Deri<br>Secu<br>(Inst |
|---|---|--------------------------------------|---|--|---|---------------------|--------------------|---|-------------------------------------|--------------------------------|
|   |   |                                      |   | Code V                                 | 4, and 5) (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |                                |
| Common<br>Option                                    | \$ 3.8  |                                      |   |  |   | 12/09/2005          | 12/09/2013         | Common  | 10,000                              |                                |
| Common<br>Option                                    | \$ 4.68   |                                      |   |  |   | 12/07/2006          | 12/07/2014         | Common  | 20,000                              |                                |
| Common<br>Option                                    | \$ 8.46   |                                      |   |  |   | 12/06/2007          | 12/06/2015         | Common  | 20,000                              |                                |
| Common<br>Option                                    | \$ 25.49  |                                      |   |  |   | 12/07/2008          | 12/07/2008         | Common  | 20,000                              |                                |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |
|--------------------------------|---------------|
|--------------------------------|---------------|

Officer Other Director 10% Owner

SUN WILLIAM H C/O FUEL TECH 512 KINGSLAND DRIVE BATAVIA, IL 60510

Vice President

# **Signatures**

William H. Sun 12/12/2007 \*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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