### Edgar Filing: FUEL TECH, INC. - Form 4

FUEL TECH INC

Form 4 September 17, 2007 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 or Section 17(a) of the Public Utility Holding Company Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10.b. (Print or Type Responses) 1. Name and Address of Reporting Person 1 MALEY MICHAEL P (Last) (First) (Middle) 3. Date of Earliest Transaction MALEY MICHAEL P (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Stree) 4. If Amendment, Date Original (Stree) 4. If Amendment, Date Original (Stree) 4. If Amendment, Date Original (City) (State) (Zip) (State) (Zip) (State) (Zip)	FUEL TECH	I, INC.										
FORM 4       UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549       OMB       2325-0287         Check this box if no longer subject to Section 16. Form 4 or Form 5       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Expires: 3235-0287         Form 4 or Form 5       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(b).       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b).       State of Earliest Tansaction (Month/Day/Year)       State of Chandees of Reporting Person(s) to Isuer         C/O FUEL TECH, 512 KINGSLAND DRIVE       State of Earliest Tansaction (Month/Day/Year)       Director (Street       I/f Amendment, Date Original Filed(Month/Day/Year)       State of Earliest Tansaction (Month/Day/Year)       I/f Other (specify below)       I/f Other (specify below)         BATAVIA, IL 60510       Cite       I/f Amendment, Date Original Filed(Month/Day/Year)       A. Sceurities Acquired       S. Amount of the yone than One Reporting Person         (City)       (State)       C/p       I/f Amendment, Date Original Filed(Month/Day/Year)       S. Amount of the yone than One Reporting Person       S. Amount of the yone fact of the yone fact	Form 4											
Check this box if no longer subject to Section 16. Form 4 or Form 4 or Form 5       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       MB       2235-0287         Number:       2015       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Form 4 or Form 5       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Expires:       2005         Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 16(b).       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 30(h) of the Investment Company Act of 1935 or Section       S. Relationship of Reporting Person(s) to Issuer         1. Name and Address of Reporting Person 1 (b).       2. Issuer Name and Ticker or Trading Symbol       S. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       S. Relationship of Meer or Vec President         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       Director Vec President       10% Owner Vec President         (Stree)       4. If Amendment, Date Original Filed(Month/Day/Year)       6. Individual or Joint/Group Filing(Check Applicable Line) -X. Form filed by One Reporting Person Person       X. Form filed by One Reporting Person Person         (City)       (State)       (Zita)       Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned Person       5. Amount o       6.       7. Natu	September 1	7, 2007										
CHILED STATES SECURITIES AND EXCITAIOS COMMISSION OMB       3235-0287         Washington, D.C. 20549       Number: 3235-0287         Check this box       January 31, 2005         STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF       Expression 2005         StateMENT of CHANGES IN BENEFICIAL OWNERSHIP OF         Section 16.       SECURITIES         Form 4 or       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section         Section 17(a) of the Public Utility Holding Company Act of 1940         Section 17(a) of the Public Utility Holding Company Act of 1940         Section 17(a) of the Public Utility Holding Company Act of 1940         Section 17(a) of the Public Utility Holding Company Act of 1940         Section 17(a) of the Public Utility Holding Company Act of 1940         Section 17(a) of the Public Utility Holding Company Act of 1940         Section 17(a) of the Public Utility Holding Company Act of 1940         Section 17(a) of the Public Utility Holding Company Act of 1940         Section 17(a) of the Public Utility Holding Company Act of 1940         Section 17(a) of the Public Utility Holding Company Act of 1940         Section 17(a) Of the Public Utility Holding Company Act of 1940 <td< td=""><td>FORM</td><td></td><td></td><td></td><td></td><td></td><td>OMB AF</td><td>PROVAL</td></td<>	FORM						OMB AF	PROVAL				
Check this box if no longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.       Expires:       January 31, 2005         Form 4 or Form 5       Section 16.       SECURITIES       Section 1934, obligations       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 16(b).       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b).       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of 1940       Section 17(a) of the Public Utility Holding Company Act of	UNITED STATES SECUR							NGE C	OMMISSION		3235-0287	
if no longer subject to Section 16. Form 4 or Form 5       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Expires:       Control of the properties of the properis of the properties of the properties of the	Check th	is box		Was	shington,	D.C. 20	549			Number:		
subject to Section 16.       SECURITIES       Estimated average burden hours per response       0.5         Form 4 or Form 5       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations       0.5         Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction       30(h) of the Investment Company Act of 1940       0.5         1. Name and Address of Reporting Person 1 (b).       2. Issuer Name and Ticker or Trading Symbol FUEL TECH, INC. [FTEK]       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)										Expires:		
Form 4 or Form 5 obligations may continue. See Instruction 1(b).       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b).       0.5         1. Name and Address of Reporting Person* MALEY MICHAEL P       30(h) of the Investment Company Act of 1940 1(b).       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       5. Relationship of Reporting Person(s) to Issuer         C/O FUEL TECH, 512 KINGSLAND DRIVE       09/14/2007       — Director below)       — Director below)       — Director below)         BATAVIA, IL 60510       4. If Amendment, Date Original Filed(Month/Day/Year)       6. Individual or Joint/Group Filing(Check Applicable Line)         X_Form filed by More than One Reporting Person       Table I - Non-Derivative Securities Acquired       5. Amount of       6.	0	)	IENT OF	CHAN			ICIA	AL OWN	EKSHIP OF	Estimated a		
Form 5 obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935, or Section 30(h) of the Investment Company Act of 1935 or Section (b).       Section 17(a) of the Public Utility Holding Company Act of 1935, or Section 30(h) of the Investment Company Act of 1940         (Print or Type Responses)       1. Name and Address of Reporting Person * MALEY MICHAEL P       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)					SECURITIES					-		
obligations may continue. See Instruction 1(b).       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).         (Print or Type Responses)       30(h) of the Investment Company Act of 1940         1. Name and Address of Reporting Person* MALEY MICHAEL P       2. Issuer Name and Ticker or Trading Symbol FUEL TECH, INC. [FTEK]       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)			sugnt to S	Section 1	6(a) of the	a Sacuri	tion F	Tychange	$\Lambda$ ct of 103/	response	0.5	
may continue.       30(h) of the Investment Company Act of 1940         See Instruction 1(b).       30(h) of the Investment Company Act of 1940         (Print or Type Responses)       1. Name and Address of Reporting Person <sup>*</sup> .       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         MALEY MICHAEL P       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction         (Last)       (First)       (Middle)       3. Date of Earliest Transaction         (Month/Day/Year)		ns Section 17(						-		1		
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(Print or Type Responses)       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person (s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction       (Check all applicable)         (Last)       (First)       (Middle)       3. Date of Earliest Transaction       (Month/Day/Year)       (Director		lction	50(11)	or the m	vestment	compu			,			
1. Name and Address of Reporting Person _ MALEY MICHAEL P       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       (Check all applicable)         C/O FUEL TECH, 512 KINGSLAND DRIVE       09/14/2007	1(0).											
MALEY MICHAEL P       Symbol       Issuer         FUEL TECH, INC. [FTEK]       (Check all applicable)         (Last)       (First)       (Middle)         3. Date of Earliest Transaction       (Check all applicable)         C/O FUEL TECH, 512       (Month/Day/Year)	(Print or Type F	Responses)										
MALEY MICHAEL P       Symbol       Issuer         FUEL TECH, INC. [FTEK]       (Check all applicable)         (Last)       (First)       (Middle)         3. Date of Earliest Transaction       (Check all applicable)         C/O FUEL TECH, 512       (Month/Day/Year)												
(Last)       (First)       (Middle)       3. Date of Earliest Transaction         (Last)       (First)       (Middle)       3. Date of Earliest Transaction         C/O FUEL TECH, 512 KINGSLAND DRIVE       (Month/Day/Year)       Director       10% Owner         (Street)       4. If Amendment, Date Original       6. Individual or Joint/Group Filing(Check         (Street)       4. If Amendment, Date Original       6. Individual or Joint/Group Filing(Check         BATAVIA, IL 60510       Filed(Month/Day/Year)       Applicable Line)         (City)       (State)       (Zip)         Table I - Non-Derivative Securities Acquired       5. Amount of       6.         1. Title of       2. Transaction Date       3.       4. Securities Acquired       5. Amount of       6.       7. Nature of			Person <sup>*</sup>	2. Issue	er Name <b>and</b> Ticker or Trading				· · ·			
(Last)       (First)       (Middle)       3. Date of Earliest Transaction         (Last)       (First)       (Middle)       3. Date of Earliest Transaction         (C/O FUEL TECH, 512 KINGSLAND DRIVE       (Month/Day/Year)      10 <sup>o</sup> Other (specify below)         (Street)       4. If Amendment, Date Original       6. Individual or Joint/Group Filing(Check         (Street)       4. If Amendment, Date Original       6. Individual or Joint/Group Filing(Check         Filed(Month/Day/Year)       Applicable Line)	MALEY M	ICHAEL P		Symbol								
(Last)       (First)       (Middle)       3. Date of Earliest Transaction         (Last)       (First)       (Middle)       3. Date of Earliest Transaction         (C/O FUEL TECH, 512 KINGSLAND DRIVE       (Month/Day/Year)				FUEL 7	TECH, IN	C. [FTE	K]		(Checl	c all annlicable	)	
C/O FUEL TECH, 512 KINGSLAND DRIVE       09/14/2007       _XOfficer (give titleOther (specify below) Senior Vice President         (Street)       4. If Amendment, Date Original Filed(Month/Day/Year)       6. Individual or Joint/Group Filing(Check Applicable Line) X_ Form filed by One Reporting Person Form filed by More than One Reporting Person         BATAVIA, IL 60510       (Zip)       Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned         1.Title of       2. Transaction Date 2A. Deemed       3.       4. Securities Acquired       5. Amount of       6.       7. Nature of	(Last)	(First) (I	Middle)	3. Date of	f Earliest Tr	ansaction			(Cheer		)	
KINGSLAND DRIVE       60/14/2007       below)       below)       below)         (Street)       4. If Amendment, Date Original Filed(Month/Day/Year)       6. Individual or Joint/Group Filing(Check Applicable Line)         BATAVIA, IL 60510       -X_Form filed by One Reporting Person -Form filed by More than One Reporting Person         (City)       (State)       (Zip)         Table I - Non-Derivative Securities Acquired       5. Amount of         1.Title of       2. Transaction Date 2A. Deemed       3.				(Month/E	Day/Year)							
Senior Vice President         Senior Vice President         (Street)       4. If Amendment, Date Original Filed(Month/Day/Year)       6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 				09/14/2	14/2007							
Filed(Month/Day/Year)       Applicable Line)	KINGSLAN	ID DRIVE							· · ·		t	
Filed(Month/Day/Year)       Applicable Line)		(Street)		4. If Ame	ndment. Da	te Origina	1		6. Individual or Jo	int/Group Filin	g(Check	
BATAVIA, IL 60510						-				rr	0(	
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(City)       (State)       (Zip)       Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned         1.Title of       2. Transaction Date 2A. Deemed       3.       4. Securities Acquired       5. Amount of       6.       7. Nature of	BATAVIA,	IL 60510								ore than One Rej	porting	
1.Title of       2. Transaction Date       2A. Deemed       3.       4. Securities Acquired       5. Amount of       6.       7. Nature of	(City)	(State)	(Zin)									
1	(eng)	(State)	(24)	Tabl	e I - Non-D	erivative	Secu	rities Acqu	ired, Disposed of	, or Beneficial	y Owned	
Security (Month/Day/Year) Execution Date it Transaction A) or Disposed of (D) Securities (Dwnership Indirect				•				•				
	Security	(Month/Day/Year)		Date, if	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)				Securities Beneficially	Ownership Form: Direct		
(Month/Day/Year) (Instr. 8) Owned (D) or Ownership	(1130.3)			ay/Year)		(111501.5),	+ and	5)	-			
Following Indirect (I) (Instr. 4)				-							(Instr. 4)	
(A) Reported (Instr. 4) Transaction(s)							(A)			(Instr. 4)		
Or (Instr 3 and 4)							or					
Code V Amount (D) Price	Comment				Code V	Amount	(D)		(			
Common $09/14/2007$ P 1,000 A $\frac{$}{23.816}$ 1,000 D		09/14/2007			Р	1,000	А		1,000	D		
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

### **Reporting Owners**

#### Edgar Filing: FUEL TECH, INC. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		e	7. Title and A Underlying S (Instr. 3 and 4	Securities	8. Pr Deri Sect (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Common Option	\$ 16.454					02/28/2008	02/28/2016	Common	50,000	
Common Option	\$ 25.49					12/07/2008	12/07/2016	Common	40,000	

# **Reporting Owners**

Reporting Owner Name / Addr	·ess	Relationships						
	Director	10% Owner	Officer	Other				
MALEY MICHAEL P C/O FUEL TECH 512 KINGSLAND DRIVE BATAVIA, IL 60510			Senior Vice President					
Signatures								
Michael P Maley	09/17/2007							
<u>**</u> Signature of Reporting Person	Date							

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.