## Edgar Filing: CITY NATIONAL CORP - Form 144

CITY NATIONAL CORP Form 144 July 27, 2012

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#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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**FORM 144** 

NOTICE OF PROPOSED SALE OF SECURITIES PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

ATTENTION: Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker.

1 (a) NAME OF ISSUER (Please type or print)

(b) IRS IDENT. NO.

(c) S.E.C. FILE NO.

WORK LOCATION

CITY NATIONAL CORPORATION

95-2568550

001-10521

STATE ZIP CODE

ADDRESS OF 1(d)**ISSUER** 

STREET

555 S. FLOWER STREET

CITY

(e) TELEPHONE NO.

LOS ANGELES 90071 CA AREA

CODE NUMBER

NAME OF PERSON FOR WHOSE 2(a)

(b)RELATIONSHIP

(c)ADDRESS STREET

213 673-7700 STATE ZIP CODE CITY

ACCOUNT THE SECURITIES ARE

TO ISSUER

TO BE SOLD RUSSELL GOLDSMITH

DIRECTOR, CEO AND

400 NORTH ROXBURY DRIVE

BEVERLYCA

90210

PRESIDENT

HILLS

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

3 (a)	(b) Name and Address of Each Broker	SEC USE (c) ONLY	Number of Shares	(d)	(e) Number of Shares	(f) Approximate	(g) Name of
Title of the Clas			or Other	Aggregate		Date of Sale	Each
of	Offered or Each	The realiser	Units	Market	Units	(See instr.	Securities
Securition	es Market Maker who		To Be Sold	Value	Outstanding	<i>3(f))</i>	Exchange
To Be	is Acquiring the		(See instr.	(See instr.	(See instr.	(MO. DAY	(See instr.
Sold	Securities		<i>3(c))</i>	<i>3(d))</i>	3(e))	YR.)	$\beta(g)$
COMMON	CITY NATIONAL	150	0,000	\$7,407,000	150,000	On or before	NYSE
STOCK	SECURITIES					9/20/2012	

400 NORTH ROXBURY

DRIVE

BEVERLY HILLS, CA 90210

#### **INSTRUCTIONS:**

(e)

- 1.(a) Name of issuer
- (b) Issuer s I.R.S. Identification Number
- (c) Issuer s S.E.C. file number, if any
- (d) Issuer s address, including zip code
- 3.(a) Title of the class of securities to be sold

filing of this notice

- (b) Name and address of each broker through whom the securities are intended to be sold
- (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount) (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the

(e)

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Issuer s telephone number, including area code

- 2.(a) Name of person for whose account the securities are to be sold
  - (b) Such person s relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
- (c) Such person s address, including zip code

Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer

- (f) Approximate date on which the securities are to be sold
- (g) Name of each securities exchange, if any, on which the securities are intended to be sold

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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#### TABLE I SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

	Name of Person from Whom					
			Acquired	Amount of		
Title of the	Date you	Nature of Acquisition	(If gift, also give date donor	Securities	Date of	
Class	Acquired	Transaction	acquired)	Acquired	Payment	Nature of Payment
Common Stock	3/6/2009	Stock Option Exercise	City National Corporation	150,000	On or before 9/20/2012	Cashless exercise

**INSTRUCTIONS:** 

If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

## TABLE II SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Seller Russell Goldsmith	Title of Securities Sold *Common Stock	<b>Date of Sale</b> 7/17/2012	Amount of Securities Sold 47,342	<b>Gross Proceeds</b> \$2,375,756.41
400 North Roxbury Drive	*Common Stock	7/16/2012	14,400	\$712,283.64
Beverly Hills, CA 90210	*Common Stock	7/13/2012	8,720	\$431,943.50
	*Common Stock	7/11/2012	5,500	\$267,007.40
	*Common Stock	7/10/2012	5,000	\$242,986.37
	*Common Stock	7/9/2012	3,500	\$170,440.75
	*Common Stock	7/6/2012	11,000	\$539,315.14
	*Common Stock	7/5/2012	9,438	\$468,323.48
	*Common Stock	7/3/2012	5,200	\$256,616.15
	*Common Stock	7/2/2012	20,800	\$1,014,832
	Common Stock	6/15/2012	10,000	\$491,625.39
	Common Stock	5/29/2012	10,000	\$507,770.10
	Common Stock	5/25/2012	10,000	\$505,502.68

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Common Stock	5/24/2012	18,000	\$898,364.86
Common Stock	5/23/2012	2,000	\$99,500.00
Common Stock	5/10/2012	10,000	\$526,570.26
Common Stock	5/9/2012	10,000	\$521,715.77
Common Stock	5/7/2012	25,000	\$1,326,892.84
Common Stock	5/3/2012	24,100	\$1,284,530.59

REMARKS: The transactions designated by \* took place pursuant to a Rule 10b5-1 sales plan. All other transactions were sales of shares acquired by cashless exercise of options granted pursuant to an Employee Stock Option Plan.

#### **INSTRUCTIONS:**

See the definition of person in paragraph (a) of Rule 144. Information The person for whose account the securities to which this notice is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

> July 27, 2012 DATE OF NOTICE

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION, IF RELYING ON RULE 10B5-1

#### **ATTENTION:**

relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

> /s/ Michael B. Cahill, Attorney-in-Fact (SIGNATURE)

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

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