Edgar Filing: SPIEGEL DAVID - Form 4

SPIEGEL I Form 4										
April 11, 24	ЛЛ								PPROVAL	
-	Washington, D.C. 20549								3235-0287	
if no lo subject Section Form 4 Form 5 obligat may co	to 16. or Filed pur ions Section 17(Washington, D.C. 20549 Number: 0203 02 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Securities Exchange Act of 1934, Expires: January 20 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Section 1940 Section 1940								
(Print or Type	e Responses)									
1. Name and Address of Reporting Person <u>*</u> SPIEGEL DAVID			2. Issuer Name and Ticker or Trading Symbol APOGEE TECHNOLOGY INC [ATCS]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) 129 MORGAN DRIVE			3. Date of Earliest Transaction (Month/Day/Year) 04/08/2011				Director 10% Owner Officer (give title Other (specify below)			
				endment, Da onth/Day/Yea	-	1	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tah	ole I - Non-I	Derivative	Securities A	cquired, Disposed	of. or Beneficia	llv Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemo Execution any (Month/Da	ed Date, if	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price		5. Amount of Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect		
Reminder: Ro	eport on a separate line	e for each cl:	ass of sec	urities benef	Perso inform requir	ns who res nation cont ed to respo	or indirectly. Spond to the colle cained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

number.

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(Instr. 3)	Price of (Month/Day/Yea Derivative Security		(Month/Day/Year)	(Instr. 8	· ·	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Warrant (1)	\$ 1	04/08/2011		J <u>(2)</u>		25,000		04/08/2011	04/08/2014	Common Stock (3)	25,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SPIEGEL DAVID 129 MORGAN DRIVE NORTHWOOD, MA 02062		Х					
Signatures							

Jiyna

David Spiegel 04/11/2011 **Signature of Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). **
- (1) Right to Buy
- Security is a three-year warrant to purchase twenty five thousand (25,000) shares of Apogee Common Stock with an exercise price of (2)\$1.00 per share, issued to Mr. David Spiegel as additional consideration for a \$25,000 Promissory Note dated April 8, 2011.
- (3) Common Stock, \$0.01 par value per share

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.