

ALLIANT ENERGY CORP
Form U-9C-3
November 16, 2001

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT

For the quarter ended September 30, 2001

Filed Pursuant to Rule 58 of the Public Utility Holding Company
Act of 1935

ALLIANT ENERGY CORPORATION
222 West Washington Avenue
Madison, Wisconsin 53703
608-252-3311

ALLIANT ENERGY CORPORATION
FORM U-9C-3

For the Quarter Ended September 30, 2001

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

CONTENTS

ITEM 1 - Organization Chart

ITEM 2 - Issuances and Renewals of Securities and Capital Contributions

ITEM 3 - Associate Transactions

ITEM 4 - Summary of Aggregate Investment

ITEM 5 - Other Investments

ITEM 6 - Financial Statements and Exhibits

SIGNATURES

EXHIBIT A

2

ITEM 1 - ORGANIZATION CHART
(As of September 30, 2001)

Name of Company (Organization Chart Hierarchy) (Reporting companies denoted with (**))	Energy- or Gas-Related Company	Date of Organization	St Orga
Alliant Energy Corporation	N/A	N/A	
Cargill-Alliant, L.L.C. (**)	Energy	10/29/97	Wi
Alliant Energy Resources, Inc.	N/A	N/A	
Alliant Energy Development, LLC	N/A	N/A	
Argo Power LLC (**) (*)	Energy	6/5/00	D
Henwood Energy Services, Inc. (**)	Energy	8/9/85	Ca
Nth Power Technologies Fund II, L.P. (**)	Energy	2/25/00	Ca
SmartEnergy, Inc. (**)	Energy	11/3/00	D
Alliant Energy Integrated Services Company	N/A	N/A	
Industrial Energy Applications, Inc. (**)	Energy	9/22/83	
BFC Gas Company L.C. (**)	Energy	12/7/95	
Energys, Inc. (**)	Energy	6/25/84	Wi

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

RMT, Inc. (**)	Energy	7/29/83	Wi
Residuals Management Technology, Inc., Ohio (**)	Energy	1/22/97	
RMT North Carolina, Inc. (**)	Energy	4/6/69	Nort
RMT, Inc., Michigan (**)	Energy	12/15/95	M
RMT International, Inc. (**)	Energy	10/9/97	Wi
Lake Engineering, Inc. (**)	Energy	9/2/82	G
Heartland Energy Group, Inc. (**)	Energy	6/1/95	Wi
Industrial Energy Applications Delaware Inc.	N/A	N/A	
Oak Hill Pipeline L.P. (**)	Energy	4/1/97	
Alliant South Texas Pipeline, L.P. (**) (Also owned by Alliant Energy Field Services, LLC)	Energy	4/20/99	
Alliant Energy Desdemona, LP (**) (Also owned by Alliant Energy Field Services, LLC)	Energy	2/14/00	D
Bastian Bay Pipeline, LP (**) (Also owned by Alliant Energy Field Services, LLC)	Energy	8/31/00	
Alliant Energy Field Services, LLC	N/A	N/A	
Alliant South Texas Pipeline, L.P. (**) (Also owned by Industrial Energy Applications Delaware Inc.)	Energy	4/20/99	
Alliant Energy Desdemona, LP (**) (Also owned by Industrial Energy Applications Delaware Inc.)	Energy	2/14/00	D
Bastian Bay Pipeline, LP (**) (Also owned by Industrial Energy Applications Delaware Inc.)	Energy	8/31/00	
NG Energy Trading, LLC (**)	Energy	9/26/00	O
Alliant Energy Integrated Services - Energy Solutions LLC (**)	Energy	1/1/01	
Schedin & Associates, Inc. (**)	Energy	6/22/82	Mi
SVBK Consulting Group, Inc. (**)	Energy	7/2/85	F
3			
Alliant Energy Integrated Services - Energy Management LLC (**)	Energy	1/1/01	
Enermetrix.com, Inc. (**)	Energy	1/17/95	D

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

Energy Performance Services, Inc. (**)	Energy	12/17/93	Pen
Cogenex Corporation (**)	Energy	9/26/83	Mass
APS Cogenex LLC (**)	Energy	9/29/95	D
Energy Capital and Services I, LP (**)	Energy	5/4/90	Mass
Energy Capital and Services II, LP (**)	Energy	11/13/90	Mass
Energy Holdings Corporation (**)	Energy	10/3/94	D
Cogenex West Corporation (**)	Energy	9/26/83	Mass
EUA Cogenex-Canada Inc. (**)	Energy	10/11/94	
EUA Cogenex-Canada Energy Services, Inc. (**)	Energy	12/16/97	
AGRA Cogenex Joint Venture (**)	Energy	7/8/97	
Northeast Energy Management, Inc. (**)	Energy	1/7/94	Mass
Alliant Energy Investments, Inc.	N/A	N/A	
Heartland Energy Services, Inc.	N/A	N/A	
ReGENco L.L.C. (**)	Energy	4/19/99	Wi
Alliant Energy Transportation, Inc.	N/A	N/A	
Williams Bulk Transfer Inc. (**)	Energy	4/27/99	

N/A - Not applicable

(a) The following abbreviations were used:

Abbreviation	Nature of Business
(i)	Energy management services
(ii)	Development and commercialization of innovative electrotechnologies
(v) - 1	Brokering and marketing of energy commodities - natural gas
(v) - 2	Brokering and marketing of energy commodities - electricity
(v) - 3	Brokering and marketing of energy commodities - natural gas and electricity
(vi)	Production of alternative fuels
(vii) - 1	Environmental licensing, testing, and remediation services
(vii) - 2	Integrated turbine and generator services
(ix) - 1	Fuel transportation facilities services
(ix) - 2	Fuel handling and storage facilities services

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

4

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS:
Investment level at September 30, 2001

Company Issuing Security	Type of Security Issued	Principal Amount of Security (in thousands)	Who
Alliant Energy Desdemona, LP	Partnership capital	\$1,668	Industrial Energy
	Partnership capital	\$1	Alliant Energy
Alliant Energy Integrated Services - Energy Management LLC	Money pool borrowings	\$12,940	Alliant Energy
Alliant Energy Integrated Services - Energy Solutions LLC	Money pool borrowings	\$1,428	Alliant Energy
Alliant South Texas Pipeline, L.P.	Partnership capital	\$6,140	Industrial Energy
	Partnership capital	\$9	Alliant Energy
Bastian Bay Pipeline, LP	Partnership capital	\$136	Industrial Energy
BFC Gas Company L.C.	Capital	\$2,600	Industrial Energy
Cargill-Alliant, L.L.C.	Capital	\$5,000	Alliant Energy
Cogenex Corporation (Incl. subsidiaries)	Money pool borrowings	\$66,472	Alliant Energy
Energys, Inc.	Capital	\$8,685	Industrial Energy
	Money pool borrowings	\$712	Alliant Energy
Energy Performance Services, Inc.	Money pool borrowings	\$18,818	Alliant Energy
Enemetrix.com, Inc.	Preferred stock	\$10,040	Alliant Energy Management LLC
Heartland Energy Group, Inc.	Common stock	\$5,011	Alliant Energy
	Money Pool Borrowings	\$8,969	Alliant Energy
Henwood Energy Services, Inc.	Common stock	\$1,342	Alliant Energy
Industrial Energy Applications, Inc.	Common stock	\$21,060	Alliant Energy
	Money pool borrowings	\$28,853	Alliant Energy
Lake Engineering, Inc.	Capital	\$2,650	RMT, Inc. (1)
NG Energy Trading, LLC	Capital	\$2,250	Heartland Energy
Nth Power Technologies Fund II, L.P.	Partnership capital	\$5,000	Alliant Energy
Oak Hill Pipeline L.P.	Partnership capital	\$3,913	Industrial Energy
ReGENco L.L.C.	Class A Units	\$1,083	Heartland Energy
	Class B Units	\$667	Heartland Energy
RMT, Inc. (Incl. all subsidiaries except Lake Engineering, Inc.)	Common stock	\$11,822	Alliant Energy
Schedin & Associates, Inc.	Money pool borrowings	\$1,006	Alliant Energy
SmartEnergy, Inc.	Preferred stock	\$6,500	Alliant Energy
	Bridge Loan	\$11,265	Alliant Energy
SVBK Consulting Group, Inc.	Common stock	\$1	Alliant Energy Solutions LLC
	Money pool borrowings	\$1,814	Alliant Energy
Williams Bulk Transfer Inc.	Common stock	\$1	Alliant Energy
	Money pool borrowings	\$4,787	Alliant Energy

(1) Associate company.

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

5

ITEM 3 - ASSOCIATE TRANSACTIONS

For the Quarter Ended September 30, 2001

Part I -- Transactions performed by reporting companies on behalf of associate companies (a):

Reporting Company Rendering Services	Associate Company Receiving Services	Types of Services Rendered	Direct Costs Charged (in thousands)	Indirect Costs Charged (in thousands)
RMT	WP&L	Environmental consulting	\$71	\$-
RMT	IEA	Environmental consulting	76	-
RMT	IESU	Environmental consulting	2	-
RMT	Barge	Environmental consulting	5	-
RMT	Resources	Environmental consulting	95	-
Williams	Cargill-Alliant	Coal handling	184	-

Part II -- Transactions performed by associate companies on behalf of reporting companies (a):

Associate Company Rendering Services	Reporting Company Receiving Services	Types of Services Rendered	Direct Costs Charged (in thousands)	Indirect Costs Charged (in thousands)
RMT	IEA	Environmental consulting	\$76	\$-
Williams	Cargill-Alliant	Coal handling	184	-

(a) The following abbreviations were used:

Abbreviation	Legal Name	Abbreviation	Legal Name
Barge	IEI Barge Services, Inc.	Resources	Alliant Energy
Cargill-Alliant	Cargill-Alliant, L.L.C.	RMT	RMT, Inc.
IEA	Industrial Energy Applications, Inc.	Williams	Williams Bulk
IESU	IES Utilities Inc.	WP&L	Wisconsin Power

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT
(Dollars in thousands)

Investments in energy-related companies:

Total consolidated capitalization as of September 30, 2001 (a)	\$4,447,
Total capitalization multiplied by 15% (Line 1 multiplied by 0.15)	667,
Greater of \$50 million or total capitalization multiplied by 15% (Line 2)	667,
Total current aggregate investment subsequent to April 21, 1998 (categorized by major line of energy-related business):	
Energy-related business category i	114,
Energy-related business category ii	10,
Energy-related business category iii	
Energy-related business category iv	
Energy-related business category v	40,
Energy-related business category vi	
Energy-related business category vii	5,
Energy-related business category viii	
Energy-related business category ix	12,
Energy-related business category x	
Total current aggregate investment	183,
Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (Line 3 less Line 4)	\$483,

Investments in gas-related companies:

Total current aggregate investment (categorized by major line of gas-related business):	
Gas-related business category i	\$-
Gas-related business category ii	-
Total current aggregate investment	\$-

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

(a) Includes common equity, cumulative preferred stock of subsidiaries, long-term debt, current maturities and sinking funds and short-term debt (variable rate demand bonds, commercial paper, notes payable and other short-term borrowings).

7

ITEM 5 - OTHER INVESTMENTS

(Dollars in thousands)

Major Line Of Energy-Related Business	Other Investment In Last U-9C-3 Report	Other Investment In This U-9C-3 Report	Reas In
Energy-related business category i*			
Henwood Energy Services, Inc.	\$1,342	\$1,342	No change.
Industrial Energy Applications, Inc.	47,133	47,133	No change.
Energy-related business category v*			
Cargill-Alliant, L.L.C.	\$5,000	\$5,000	No change.
Heartland Energy Group, Inc.	3,289	3,289	No change.
Energy-related business category vi*			
BFC Gas Company L.C.	\$2,569	\$2,569	No change.
Energy-related business category vii*			
RMT, Inc. (including all Subsidiaries except Lake Engineering, Inc.)	\$11,822	\$11,822	No change.
Energy-related business category ix*			
Oak Hill Pipeline L.P.	\$4,259	\$3,913	In the third quart L.P. made a distri Energy Application

* Item 5 includes aggregate investments that were invested or committed to be invested in energy-related companies, prior to the date Rule 58 was effective for Alliant Energy Corporation (April 21, 1998 - Date Alliant Energy Corporation became a registered holding company), for which there is recourse, directly or indirectly, to Alliant Energy Corporation or any subsidiary thereof.

ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

List all financial statements and exhibits filed as a part of this report.

A. FINANCIAL STATEMENTS:

Filed under confidential treatment pursuant to Rule 104 (b).

B. EXHIBITS:

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

1. Exhibit A - Certificate of Alliant Energy Corporation
2. Copies of contracts required by Item 3:
None

SIGNATURES

Pursuant to the requirements of the Public Utility Holding Company Act of 1935, Alliant Energy Corporation has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized on the 16th day of November 2001.

ALLIANT ENERGY CORPORATION

Registrant

By: /s/ John E. Kratchmer	Corporate Controller and Chief Accounting Officer
-----	(Principal Accounting Officer)
John E. Kratchmer	

8

Exhibit A

ALLIANT ENERGY CORPORATION
222 West Washington Avenue, Madison, Wisconsin 53703

CERTIFICATE

Pursuant to the requirements of Rule 58 of the Public Utility Holding Company Act of 1935, the undersigned certifies that a conformed copy of the quarterly report on Form U-9C-3 shall be filed with the interested state commissions promptly after filing with the SEC. The names and addresses of each state commission having jurisdiction over the retail rates of the public utility companies that are associate companies of any of the reporting companies are:

Iowa Utilities Board
350 Maple Street
Des Moines, Iowa 50319-0069

Illinois Commerce Commission
P.O. Box 19280
Springfield, Illinois 62794-9280

Minnesota Public Utilities Commission
121 7th Place E. Suite 350
St. Paul, MN 55101-2147

Public Service Commission of Wisconsin
610 North Whitney Way, P.O. Box 7854
Madison, Wisconsin 53707-7854

ALLIANT ENERGY CORPORATION

Registrant

Edgar Filing: ALLIANT ENERGY CORP - Form U-9C-3

By: /s/ John E. Kratchmer

Corporate Controller and Chief Accounting Officer
(Principal Accounting Officer)

John E. Kratchmer