

Edgar Filing: UNIVERSAL CORP /VA/ - Form 4

UNIVERSAL CORP /VA/  
 Form 4  
 February 08, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
 ZALZNECK, ROBERT J.  
 1501 NORTH HAMILTON STREET  
 RICHMOND, VA 23230  
 USA
2. Issuer Name and Ticker or Trading Symbol  
 UNIVERSAL CORPORATION  
 UVV
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year  
 01/01
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 ( ) Director ( ) 10% Owner ( ) Officer (give title below) (X) Other  
 (specify below)  
 EXECUTIVE OFFICER
7. Individual or Joint/Group Filing (Check Applicable Line)  
 (X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Code	4. Securities Acquired (A) or Disposed of (D) Amount	5. Amount of Securities Beneficially Owned at End of Month
Common Stock	1/3/01	M	6,849	
Common Stock	1/3/01	S	6,849	38,001
Common Stock				2,814
				-----
			total	40,815

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction	4. Derivative Securities Acquired (A) or Disposed of (D)	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities	8. Percentage of Total Underlying Securities
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	Price of			posed of (D)	Day/Year)						Title and Number	
	Deriva-				Date	Expir					of Shares	
	tive				A/	Exer-	ation					
	Secu-				D	cisa-	Date					
	rity	Date	Code	V	Amount	ble						
Options to Buy Common	\$28.5625	1/3/0	M		6,849	D	12/15	12/4/			Common Stock	
Stock		1					/99	01		(2)		

Explanation of Responses:

(1) Number of shares held in the Employees Stock Purchase Plan

(2) Options issued under the Executive Stock Plan

SIGNATURE OF REPORTING PERSON

R. J. Zalzneck, signature on file, filed electronically

DATE

February 8, 2001