Edgar Filing: Fitzgerald John Taylor Maloney - Form 4

Fitzgerald John Taylor Maloney Form 4 September 05, 2017

September 0	5, 2017											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL			
Washington, D.C. 20549									OMB Number:	3235-0287		
Check th if no long	oer.	ΜΕΝΤ ΟΙ		CES IN	DENIER			EDSHID OF	Expires:	January 31, 2005		
subject to Section 1 Form 4 o	F CHAN	SECUI		ICIA		ERSHIP OF	Estimated average burden hours per response 0.					
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type I	Responses)											
Fitzgerald John Taylor Maloney Symbol					d Ticker or NANCIA		0	5. Relationship of Reporting Person(s) to Issuer				
		CES INC		IL.		(Check all applicable)						
(Month/				of Earliest Transaction Day/Year)				X Director 10% Owner X Officer (give title Other (specify below) below)				
	Y FINANCIAL INC., 150 PIER ITE 600	RCE	09/01/2	017				Presid	dent and COO			
				endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
ITASCA, II	2 60143							Form filed by M Person				
(City)	(State)	(Zip)	Tabl	e I - Non-l	Derivative	Secu	rities Acqu	iired, Disposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	(Month/Day/Year) Execution Date, if str. 3) any			3. Transactic Code (Instr. 8)	4. Securi or(A) or Di (Instr. 3,	spose 4 and	d of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Ownership		
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				
Common Stock	09/01/2017			Р	276	А	\$ 6.0156	859,174 <u>(1)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address		Relationships							
F		Director	10% Owner	Officer	Other				
Fitzgerald John Taylor Maloney KINGSWAY FINANCIAL SERVICES 150 PIERCE ROAD, SUITE 600 ITASCA, IL 60143	INC.	Х		President and COO					
Signatures									
/s/John Taylor Maloney Fitzgerald	09/05/2017								
**Signature of Reporting Person	Date								

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 500,000 restricted stock units pursuant to the Restricted Stock Unit Agreement, dated August 24, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.