

SIMMONS HAROLD C
Form 4
November 04, 2009

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SIMMONS HAROLD C

2. Issuer Name and Ticker or Trading Symbol
COMPX INTERNATIONAL INC
[CIX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
5430 LBJ FREEWAY, SUITE 1700
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/02/2009

____ Director
____ Officer (give title below)
 10% Owner
____ Other (specify below)

DALLAS, TX 75240

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Class A Common Stock \$0.01 par value	11/02/2009		J ⁽¹⁾		100	A	\$ 6.97	20,100	I	by Spouse ⁽²⁾
Class A Common Stock \$0.01 par value	11/02/2009		J ⁽¹⁾		200	A	\$ 6.98	20,300	I	by Spouse ⁽²⁾
Class A Common Stock	11/02/2009		J ⁽¹⁾		300	A	\$ 6.9899	20,600	I	by Spouse ⁽²⁾

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Stock \$0.01 par value									
Class A Common Stock \$0.01 par value	11/02/2009	<u>J⁽¹⁾</u>	200	A	\$ 6.99	20,800	I	by Spouse <u>(2)</u>	
Class A Common Stock \$0.01 par value	11/02/2009	<u>J⁽¹⁾</u>	300	A	\$ 6.995	21,100	I	by Spouse <u>(2)</u>	
Class A Common Stock \$0.01 par value	11/02/2009	<u>J⁽¹⁾</u>	1,900	A	\$ 7	23,000	I	by Spouse <u>(2)</u>	
Class A Common Stock \$0.01 par value	11/02/2009	<u>J⁽¹⁾</u>	1,100	A	\$ 7.03	24,100	I	by Spouse <u>(2)</u>	
Class A Common Stock \$0.01 par value	11/02/2009	<u>J⁽¹⁾</u>	1,900	A	\$ 7.25	26,000	I	by Spouse <u>(2)</u>	
Class A Common Stock \$0.01 par value	11/03/2009	D	300	A	\$ 6.94	319,818	D		
Class A Common Stock \$0.01 par value	11/03/2009	D	300	A	\$ 6.95	320,118	D		
Class A Common Stock \$0.01 par value	11/03/2009	D	300	A	\$ 6.99	320,418	D		
Class A Common Stock	11/03/2009	D	100	A	\$ 7	320,518	D		

\$0.01 par value
 Class A
 Common
 Stock
 \$0.01 par value

755,104 I by NL ⁽³⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 3 and 4)
				Code V (A) (D)		Date Exercisable Expiration Date	Title or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SIMMONS HAROLD C 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240		X		

Signatures

A. Andrew R. Louis, Attorney-in-fact, for Harold C. Simmons
 11/04/2009
 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Open market purchase by the reporting person's spouse.
 - (2) Directly held by the reporting person's spouse. The reporting person disclaims beneficial ownership of any shares of the issuer's common stock that his spouse holds.
 - (3) Directly held by NL Industries, Inc. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.

Remarks:

Exhibit Index

Exhibit 99 - Additional Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.