Edgar Filing: SIMMONS HAROLD C - Form 4

Form 4	HAROLD C											
April 12, 20									OMB AF	PROVAL		
FORM	/1 4 UNITED	STATES						OMMISSION	OMB Number:	3235-0287		
Section 16. Form 4 or		Washington, D.C. 20549 F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Expires: Estimated a burden hour response	0			
Form 5 obligatio may cor <i>See</i> Inst 1(b).	ons Section 17((a) of the l	Public U	Itility Ho	lding Co	mpai	•	Act of 1934, 1935 or Section)				
(Print or Type	Responses)											
	Address of Reporting S HAROLD C	Person <u>*</u>	Symbol	er Name an				5. Relationship of I Issuer	Reporting Pers	on(s) to		
			II INC /DE/ [VHI] of Earliest Transaction				(Check all applicable)					
(Month/				/Day/Year) /2013				X DirectorX 10% Owner X Officer (give title Other (specify below) below) Chairman of the Board				
DALLAS,	(Street) TX 75240			endment, E onth/Day/Ye	-	nal		6. Individual or Joi Applicable Line) Form filed by On Form filed by M	e Reporting Per	son		
(City)	(State)	(Zip)	Tak	la I Non	Donivotiv	0 5 001		Person	on Donoficial	w Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		ed Date, if	3. Transactic Code (Instr. 8)		ities A sed of 4 and (A) or	cquired (A)	irred, Disposed of, 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common stock, \$0.01 par value per share	04/12/2013			J <u>(1)</u>	183	A	\$ 15.9955	228,880	I	By Contran		
Common stock, \$0.01 par value per share	04/12/2013			J <u>(1)</u>	5,817	A	\$ 16	234,697	I	By Contran		
Common stock,								1,850,880	D			

\$0.01 par value per share			
Common stock, \$0.01 par value per share	314,033,148	I	by VHC (3)
Common stock, \$0.01 par value per share	1,100,541	I	by CDCT (4)
Common stock, \$0.01 par value per share	818,514	Ι	by Spouse <u>(5)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of	SEC 1474
information contained in this form are not	(9-02)
required to respond unless the form	
displays a currently valid OMB control	
number.	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day, e	. Date Exercisable and Expiration Date Month/Day/Year)		le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

Edgar Filing: SIMMONS HAROLD C - Form 4

SIMMONS HAROLD C 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240	Х	Х	Chairman of the Board
CONTRAN CORP 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240		Х	
Signatures			
A. Andrew R. Louis, Attorney-in-fact Simmons	, for Haro	ld C.	04/12/2013
**Signature of Reporting Per	son		Date
A. Andrew R. Louis, Secretary, for Co	ontran Cor	rporation	04/12/2013
** Signature of Reporting Per	son		Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Opern market purchase by Contran Corporation. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship between the persons joining in this filing.
- (2) Directly held by Contran Corporation. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship between the persons joining in this filing.
- (3) Directly held by Valhi Holding Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the persons joining in this filing.
- (4) Directly owned by the Contran Amended and Restated Deferred Compensation Trust. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the persons joining in this filing.

Directly held by the reporting person's spouse. Mr. Simmons disclaims beneficial ownership of any shares of the issuer's common stock(5) that his spouse holds. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationships to the persons joining in this filing.

Remarks:

Exhibit Index Exhibit 99 - Additional Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.