CAPITAL SOUTHWEST CORP

Form 3

March 19, 2014

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

À DIEHL BOWEN S

ROAD. SUITE 700

(Last)

(First)

(Street)

C/O CAPITAL SOUTHWEST

CORP, Â 12900 PRESTON

(Middle)

Statement

(Month/Day/Year)

03/17/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

CAPITAL SOUTHWEST CORP [CSWC]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director

10% Owner

__X__ Officer Other (give title below) (specify below) SVP and CIO

6. Individual or Joint/Group

Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

DALLAS. TXÂ 75230

(City) (State) (Zip)

1. Title of Security

(Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial

Ownership Form: (Instr. 5)

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Direct (D) or Indirect (I)

(Instr. 5)

Common Stock 6,000 D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and (Instr. 4)

Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise

6. Nature of Indirect 5. Ownership Beneficial Form of Ownership

Price of Derivative Security:

Derivative (Instr. 5)

1

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock options (1)	03/17/2015	03/17/2024	Common Stock	30,000	\$ 34.91	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other	
DIEHL BOWEN S C/O CAPITAL SOUTHWEST CORP 12900 PRESTON ROAD, SUITE 700 DALLAS, TX 75230	Â	Â	SVP and CIO	Â	

Signatures

/s/ Bowen S.
Diehl

**Signature of Reporting Person

O3/19/2014

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock options were granted on 3/17/2014 and vest in five equal installments of 6,000 shares each beginning March 17, 2015 Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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