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TRI-CONTINENTAL CORP

Form NSAR-BT

March 01, 2004

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000 B000000 12/31/2003  
000 C000000 099614  
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000 I000000 6.1  
000 J000000 A  
001 A000000 TRI-CONTINENTAL CORPORATION  
001 B000000 811-266  
001 C000000 2128501864  
002 A000000 100 PARK AVENUE  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10017  
003 000000 N  
004 000000 N  
005 000000 N  
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007 C013600 36  
007 C013700 37  
007 C013800 38  
007 C013900 39  
007 C014000 40  
008 A000001 J. & W. SELIGMAN & CO. INCORPORATED  
008 B000001 A  
008 C000001 801-15798  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10017  
012 A000001 SELIGMAN DATA CORP.  
012 B000001 84-1895  
012 C010001 NEW YORK  
012 C020001 NY  
012 C030001 10017  
013 A000001 DELOITTE & TOUCHE LLP  
013 B010001 NEW YORK  
013 B020001 NY  
013 B030001 10281  
014 A000001 SELIGMAN SERVICES, INC.  
014 B000001 8-47717  
014 A000002 SELIGMAN ADVISORS, INC.  
014 B000002 8-25845  
015 A000001 STATE STREET BANK AND TRUST COMPANY  
015 B000001 C  
015 C010001 KANSAS CITY  
015 C020001 MO  
015 C030001 64105  
015 C040001 1307  
015 E010001 X  
015 A000002 CITIBANK, N.A.  
015 B000002 S  
015 C010002 BUENOS AIRES  
015 D010002 ARGENTINA  
015 E040002 X  
015 A000003 WESTPAC BANKING CORPORATION  
015 B000003 S  
015 C010003 SYDNEY  
015 D010003 AUSTRALIA  
015 E040003 X  
015 A000004 ERSTE BANK DER OESTERREICHISCHEN SPARKASSEN  
015 B000004 S  
015 C010004 VIENNA  
015 D010004 AUSTRIA  
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015 E040004 X  
015 A000005 HSBC BANK MIDDLE EAST  
015 B000005 S  
015 C010005 MANAMA  
015 D010005 BAHRAIN  
015 E040005 X  
015 A000006 STANDARD CHARTERED BANK  
015 B000006 S  
015 C010006 DHAKA  
015 D010006 BANGLADESH  
015 E040006 X  
015 A000007 FORTIS BANK NV-SA  
015 B000007 S  
015 C010007 BRUSSELS  
015 D010007 BELGIUM  
015 E040007 X

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015 A000008 BANK OF BERMUDA LIMITED  
015 B000008 S  
015 C010008 HAMILTON  
015 D010008 BERMUDA  
015 E040008 X  
015 A000009 CITIBANK, N.A.  
015 B000009 S  
015 C010009 LA PAZ  
015 D010009 BOLIVIA  
015 E040009 X  
015 A000010 CITIBANK, N.A.  
015 B000010 S  
015 C010010 SAO PAULO  
015 D010010 BRAZIL  
015 E040010 X  
015 A000011 ING BANK N.V.  
015 B000011 S  
015 C010011 SOFIA  
015 D010011 BULGARIA  
015 E040011 X  
015 A000012 THE HONGKONG AND SHANGHAI BANKING CORPORATION  
015 B000012 S  
015 C010012 HO CHI MINH CITY  
015 D010012 VIETNAM  
015 E040012 X  
015 A000013 STATE STREET TRUST COMPANY CANADA  
015 B000013 S  
015 C010013 TORONTO  
015 D010013 CANADA  
015 E040013 X  
015 A000014 BANK OF NOVA SCOTIA TRUST COMPANY  
015 B000014 S  
015 C010014 GRAND CAYMAN  
015 D010014 CAYMAN ISLANDS  
015 E040014 X  
PAGE 4  
015 A000015 BANKBOSTON, N.A.  
015 B000015 S  
015 C010015 SANTIAGO  
015 D010015 CHILE  
015 E040015 X  
015 A000016 THE HONGKONG AND SHANGHAI BANKING CORPORATION  
015 B000016 S  
015 C010016 SHANGHAI  
015 D010016 CHINA  
015 E040016 X  
015 A000017 THE HONGKONG AND SHANGHAI BANKING CORPORATION  
015 B000017 S  
015 C010017 SHENZHEN  
015 D010017 CHINA  
015 E040017 X  
015 A000018 CITITRUST COLOMBIA S.A. SOCIEDAD FIDUCIARIA  
015 B000018 S  
015 C010018 BOGOTA  
015 D010018 COLOMBIA  
015 E040018 X  
015 A000019 BANCO BCT S.A.  
015 B000019 S  
015 C010019 SAN JOSE  
015 D010019 COSTA RICA  
015 E040019 X  
015 A000020 PRIVREDNA BANKA ZAGREB D.D

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015 B000020 S  
015 C010020 ZAGREB  
015 D010020 CROATIA  
015 E040020 X  
015 A000021 CYPRUS POPULAR BANK LIMITED  
015 B000021 S  
015 C010021 NICOSIA  
015 D010021 CYPRUS  
015 E040021 X  
015 A000022 CESKOSLOVENSKA OBCHODNI BANKA A.S.  
015 B000022 S  
015 C010022 PRAGUE  
015 D010022 CZECH REPUBLIC  
015 E040022 X  
015 A000023 DANSKE BANK A/S  
015 B000023 S  
015 C010023 COPENHAGEN  
015 D010023 DENMARK  
015 E040023 X  
015 A000024 CITIBANK, N.A.  
015 B000024 S  
015 C010024 QUITO  
015 D010024 ECUADOR  
015 E040024 X  
015 A000025 HSBC BANK EGYPT S.A.E.  
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015 B000025 S  
015 C010025 CAIRO  
015 D010025 EGYPT  
015 E040025 X  
015 A000026 HANSAPANK (HANSABANK)  
015 B000026 S  
015 C010026 TALLINN  
015 D010026 ESTONIA  
015 E040026 X  
015 A000027 EUROCLEAR  
015 B000027 S  
015 C010027 BRUSSELS  
015 D010027 BELGIUM  
015 E040027 X  
015 A000028 NORDEA BANK FINLAND PLC.  
015 B000028 S  
015 C010028 HELSINKI  
015 D010028 FINLAND  
015 E040028 X  
015 A000029 BNP PARIBAS SECURITIES SERVICES, S.A.  
015 B000029 S  
015 C010029 PARIS  
015 D010029 FRANCE  
015 E040029 X  
015 A000030 DRESDNER BANK AG  
015 B000030 S  
015 C010030 FRANKFURT  
015 D010030 GERMANY  
015 E040030 X  
015 A000031 BNP PARIBAS SECURITIES SERVICES, S.A.  
015 B000031 S  
015 C010031 FRANKFURT  
015 D010031 GERMANY  
015 E040031 X  
015 A000032 BARCLAYS BANK OF ZAMBIA LIMITED  
015 B000032 S

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015 C010032 LUSAKA  
015 D010032 ZAMBIA  
015 E040032 X  
015 A000033 NATIONAL BANK OF GREECE S.A.  
015 B000033 S  
015 C010033 ATHENS  
015 D010033 GREECE  
015 E040033 X  
015 A000034 BARCLAYS BANK OF ZIMBABWE LIMITED  
015 B000034 S  
015 C010034 HARARE  
015 D010034 ZIMBABWE  
015 E040034 X  
015 A000035 STANDARD CHARTERED BANK  
015 B000035 S  
PAGE 6  
015 C010035 WAN CHAI  
015 D010035 HONG KONG (SAR)  
015 E040035 X  
015 A000036 HVB BANK HUNGARY RT.  
015 B000036 S  
015 C010036 BUDAPEST  
015 D010036 HUNGARY  
015 E040036 X  
015 A000037 ICEBANK LIMITED  
015 B000037 S  
015 C010037 REYKJAVIK  
015 D010037 ICELAND  
015 E040037 X  
015 A000038 THE HONG KONG AND SHANGHAI BANKING CORP.  
015 B000038 S  
015 C010038 MUMBAI  
015 D010038 INDIA  
015 E040038 X  
015 A000039 DEUTSCHE BANK AG  
015 B000039 S  
015 C010039 MUMBAI  
015 D010039 INDIA  
015 E040039 X  
015 A000040 STANDARD CHARTERED BANK  
015 B000040 S  
015 C010040 JAKARTA  
015 D010040 INDONESIA  
015 E040040 X  
015 A000041 BANK OF IRELAND  
015 B000041 S  
015 C010041 DUBLIN  
015 D010041 IRELAND  
015 E040041 X  
015 A000042 BANK HAPOALIM B.M.  
015 B000042 S  
015 C010042 TEL AVIV  
015 D010042 ISRAEL  
015 E040042 X  
015 A000043 BNP PARIBAS SECURITIES SERVICES, S.A.  
015 B000043 S  
015 C010043 MILAN  
015 D010043 ITALY  
015 E040043 X  
015 A000044 SOCIETE GENERALE DE BANQUES EN COTE D'IVOIRE  
015 B000044 S  
015 C010044 ABIDJAN

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015 D010044 IVORY COAST  
015 E040044 X  
015 A000045 SCOTIABANK JAMAICA TRUST AND MERCHANT BANK  
015 B000045 S  
015 C010045 KINGSTON

PAGE 7

015 D010045 JAMAICA  
015 E040045 X  
015 A000046 MIZUHO CORPORATE BANK, LTD.  
015 B000046 S  
015 C010046 TOKYO  
015 D010046 JAPAN  
015 E040046 X  
015 A000047 HSBC BANK MIDDLE EAST  
015 B000047 S  
015 C010047 AMMAN  
015 D010047 JORDAN  
015 E040047 X  
015 A000048 HSBC BANK KAZAKHSTAN  
015 B000048 S  
015 C010048 ALMATY  
015 D010048 KAZAKHSTAN  
015 E040048 X  
015 A000049 BARCLAYS BANK OF KENYA LIMITED  
015 B000049 S  
015 C010049 NAIROBI  
015 D010049 KENYA  
015 E040049 X  
015 A000050 THE HONG KONG AND SHANGHAI BANKING CORP.  
015 B000050 S  
015 C010050 SEOUL  
015 D010050 REPUBLIC OF KOREA  
015 E040050 X  
015 A000051 A/S HANSABANKA  
015 B000051 S  
015 C010051 RIGA  
015 D010051 LATVIA  
015 E040051 X  
015 A000052 HSBC BANK MIDDLE EAST  
015 B000052 S  
015 C010052 BEIRUT  
015 D010052 LEBANON  
015 E040052 X  
015 A000053 VILNIAUS BANKAS AB  
015 B000053 S  
015 C010053 VILNIUS  
015 D010053 LITHUANIA  
015 E040053 X  
015 A000054 CLEARSTREAM BANKING  
015 B000054 S  
015 C010054 LUXEMBOURG  
015 D010054 LUXEMBOURG  
015 E040054 X  
015 A000055 STANDARD CHARTERED BANK MALAYSIA BERHAD  
015 B000055 S  
015 C010055 KUALA LUMPUR  
015 D010055 MALAYSIA  
PAGE 8  
015 E040055 X  
015 A000056 VIA SOCIETE GENERALE DE BANQUES EN COTE D'IVE  
015 B000056 S  
015 C010056 ABIDJAN

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015 D010056 MALI  
015 E040056 X  
015 A000057 THE HONGKONG AND SHANGHAI BANKING CORPORATION  
015 B000057 S  
015 C010057 PORT LOUIS  
015 D010057 MAURITIUS  
015 E040057 X  
015 A000058 BANCO NACIONAL DE MEXICO S.A.  
015 B000058 S  
015 C010058 MEXICO CITY  
015 D010058 MEXICO  
015 E040058 X  
015 A000059 BANQUE COMMERCIALE DU MAROC  
015 B000059 S  
015 C010059 CASABLANCA  
015 D010059 MOROCCO  
015 E040059 X  
015 A000060 STANDARD BANK NAMIBIA LIMITED  
015 B000060 S  
015 C010060 WINDHOEK  
015 D010060 NAMIBIA  
015 E040060 X  
015 A000061 KAS BANK N.V.  
015 B000061 S  
015 C010061 AMSTERDAM  
015 D010061 NETHERLANDS  
015 E040061 X  
015 A000062 WESTPAC BANKING CORPORATION  
015 B000062 S  
015 C010062 WELLINGTON  
015 D010062 NEW ZEALAND  
015 E040062 X  
015 A000063 STANBIC BANK NIGERIA LIMITED  
015 B000063 S  
015 C010063 LAGOS  
015 D010063 NIGERIA  
015 E040063 X  
015 A000064 NORDEA BANK NORGE ASA  
015 B000064 S  
015 C010064 OSLO  
015 D010064 NORWAY  
015 E040064 X  
015 A000065 HSBC BANK MIDDLE EAST  
015 B000065 S  
015 C010065 MUSCAT  
015 D010065 OMAN  
015 E040065 X  
PAGE 9  
015 A000066 DEUTSCHE BANK AG  
015 B000066 S  
015 C010066 KARACHI  
015 D010066 PAKISTAN  
015 E040066 X  
015 A000067 HSBC BANK MIDDLE EAST  
015 B000067 S  
015 C010067 RAMALLAH  
015 D010067 PALESTINE  
015 E040067 X  
015 A000068 BANKBOSTON, N.A.  
015 B000068 S  
015 C010068 PANAMA CITY  
015 D010068 PANAMA

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015 E040068 X  
015 A000069 CITIBANK, N.A.  
015 B000069 S  
015 C010069 LIMA  
015 D010069 PERU  
015 E040069 X  
015 A000070 STANDARD CHARTERED BANK  
015 B000070 S  
015 C010070 MANILA  
015 D010070 PHILIPPINES  
015 E040070 X  
015 A000071 BANK HANDLOWY W WARSZAWIE S.A.  
015 B000071 S  
015 C010071 WARSAW  
015 D010071 POLAND  
015 E040071 X  
015 A000072 BANCO COMERCIAL PORTUGUES  
015 B000072 S  
015 C010072 LISBON  
015 D010072 PORTUGAL  
015 E040072 X  
015 A000073 CITIBANK, N.A.  
015 B000073 S  
015 C010073 SAN JUAN  
015 D010073 PUERTO RICO  
015 E040073 X  
015 A000074 HSBC BANK MIDDLE EAST  
015 B000074 S  
015 C010074 DOHA  
015 D010074 QATAR  
015 E040074 X  
015 A000075 ING BANK N.V.  
015 B000075 S  
015 C010075 BUCHAREST  
015 D010075 ROMANIA  
015 E040075 X  
015 A000076 ING BANK (EURASIA) ZAO  
PAGE 10  
015 B000076 S  
015 C010076 MOSCOW  
015 D010076 RUSSIA  
015 E040076 X  
015 A000077 VIA SOCIETE GENERALE DE BANQUES EN COTE D'IVE  
015 B000077 S  
015 C010077 ABIDJAN, IVORY COAST  
015 D010077 SENEGAL  
015 E040077 X  
015 A000078 THE DEVELOPMENT BANK OF SINGAPORE  
015 B000078 S  
015 C010078 SINGAPORE  
015 D010078 SINGAPORE  
015 E040078 X  
015 A000079 CESKOSLOVENSKA OBCHODNI BANKA A.S.  
015 B000079 S  
015 C010079 BRATISLAVA  
015 D010079 SLOVAK REPUBLIC  
015 E040079 X  
015 A000080 BANK AUSTRIA CREDITANSTALT D.D.  
015 B000080 S  
015 C010080 LJUBLJANA  
015 D010080 SLOVENIA  
015 E040080 X



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015 A000081 NEDCOR BANK LIMITED  
015 B000081 S  
015 C010081 BRAAMFONTEIN  
015 D010081 SOUTH AFRICA  
015 E040081 X  
015 A000082 STANDARD BANK OF SOUTH AFRICA LIMITED  
015 B000082 S  
015 C010082 JOHANNESBURG  
015 D010082 SOUTH AFRICA  
015 E040082 X  
015 A000083 SANTANDER CENTRAL HISPANO S.A.  
015 B000083 S  
015 C010083 MADRID  
015 D010083 SPAIN  
015 E040083 X  
015 A000084 THE HONGKONG AND SHANGHAI BANKING CORPORATION  
015 B000084 S  
015 C010084 COLOMBO  
015 D010084 SRI LANKA  
015 E040084 X  
015 A000085 STANDARD BANK SWAZILAND LIMITED  
015 B000085 S  
015 C010085 MBABANE  
015 D010085 SWAZILAND  
015 E040085 X  
015 A000086 SKANDINAVISKA ENSKILDA BANKEN  
015 B000086 S  
PAGE 11  
015 C010086 STOCKHOLM  
015 D010086 SWEDEN  
015 E040086 X  
015 A000087 UBS AG  
015 B000087 S  
015 C010087 ZURICH  
015 D010087 SWITZERLAND  
015 E040087 X  
015 A000088 CENTRAL TRUST OF CHINA  
015 B000088 S  
015 C010088 TAIPEI  
015 D010088 TAIWAN  
015 E040088 X  
015 A000089 STANDARD CHARTERED BANK  
015 B000089 S  
015 C010089 BANGKOK  
015 D010089 THAILAND  
015 E040089 X  
015 A000090 VIA SOCIETE GENERALE DE BANQUES EN COTE D'IVE  
015 B000090 S  
015 C010090 ABIDJAN, IVORY COAST  
015 D010090 TOGO  
015 E040090 X  
015 A000091 REPUBLIC BANK LIMITED  
015 B000091 S  
015 C010091 PORT OF SPAIN  
015 D010091 TRINIDAD & TOBAGO  
015 E040091 X  
015 A000092 BANQUE INTERNATIONALE ARABE DE TUNISIE  
015 B000092 S  
015 C010092 TUNIS  
015 D010092 TUNISIA  
015 E040092 X  
015 A000093 CITIBANK, N.A.

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015 B000093 S  
015 C010093 ISTANBUL  
015 D010093 TURKEY  
015 E040093 X  
015 A000094 BARCLAYS BANK OF UGANDA LIMITED  
015 B000094 S  
015 C010094 KAMPALA  
015 D010094 UGANDA  
015 E040094 X  
015 A000095 ING BANK UKRAINE  
015 B000095 S  
015 C010095 KIEV  
015 D010095 UKRAINE  
015 E040095 X  
015 A000096 HSBC BANK MIDDLE EAST  
015 B000096 S  
015 C010096 DUBAI  
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015 D010096 UNITED ARAB EMIRATES  
015 E040096 X  
015 A000097 STATE STREET BANK AND TRUST COMPANY  
015 B000097 S  
015 C010097 LONDON  
015 D010097 UNITED KINGDOM  
015 E040097 X  
015 A000098 BANKBOSTON, N.A.  
015 B000098 S  
015 C010098 MONTEVIDEO  
015 D010098 URUGUAY  
015 E040098 X  
015 A000099 CITIBANK, N.A.  
015 B000099 S  
015 C010099 CARACAS  
015 D010099 VENEZUELA  
015 E040099 X  
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019 B000000 61  
019 C000000 JWSELIGMAN  
020 A000001 LEHMAN BROTHERS, INC.  
020 B000001 13-2518466  
020 C000001 1536  
020 A000002 UBS WARBURG, LLC  
020 B000002 13-3873456  
020 C000002 1518  
020 A000003 CREDIT SUISSE FIRST BOSTON CORPORATION  
020 B000003 13-5659485  
020 C000003 607  
020 A000004 MERRILL, LYNCH, PIERCE, FENNER & SMITH, INC.  
020 B000004 13-5674085  
020 C000004 479  
020 A000005 BEAR STEARNS & CO., INC.  
020 B000005 13-3299429  
020 C000005 441  
020 A000006 CITIGROUP GLOBAL MARKETS, INC.  
020 B000006 11-2418191  
020 C000006 362  
020 A000007 FACTSET DATA SYSTEMS, INC.  
020 B000007 13-2953224  
020 C000007 359  
020 A000008 GOLDMAN, SACHS GROUP INC.  
020 B000008 13-5108880

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020 C000008 351  
020 A000009 MORGAN STANLEY DEAN WITTER  
020 B000009 13-2655998  
020 C000009 342  
020 A000010 U.S. CLEARING CORPORATION  
020 B000010 22-3251862  
020 C000010 247  
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021 000000 8764  
022 A000001 STATE STREET BANK & TRUST CO.  
022 B000001 04-1867445  
022 C000001 6780280  
022 D000001 0  
022 A000002 DEXIA BANK - GRAND CAYMAN  
022 B000002 13-3915825  
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022 A000003 RABOBANK NEDERLAND  
022 B000003 13-3036591  
022 C000003 1648900  
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022 A000004 BNP PARIBAS - GRAND CAYMAN  
022 B000004 13-3235334  
022 C000004 1616360  
022 D000004 0  
022 A000005 BANK OF NOVA SCOTIA - TORONTO  
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022 A000006 ROYAL BANK OF SCOTLAND GROUP  
022 B000006 13-1898944  
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022 A000008 U.S. BANK  
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022 C000008 65500  
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022 A000009 BANK OF AMERICA - GRAND CAYMAN  
022 B000009 36-4941092  
022 C000009 44600  
022 D000009 0  
022 A000010 HSBC BANK USA - NASSAU  
022 B000010 13-2650272  
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022 D000010 0  
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025 A000001 CITIGROUP, INC.  
025 B000001 52-1568099  
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025 D000001 82723  
025 A000002 MERRILL LYNCH & CO. INC.  
025 B000002 13-5674085  
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025 A000003 GOLDMAN SACHS GROUP INC

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030	C000000	0.00	
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036	B000000	0
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047	000000	N
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048	G020000	0.000
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054	C000000	N
054	D000000	N
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054	F000000	N
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054	H000000	Y
054	I000000	N
054	J000000	Y
054	K000000	N
054	L000000	N
054	M000000	Y
054	N000000	N
054	O000000	Y
055	A000000	Y
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056	000000	Y

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057 000000 N  
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 059 000000 Y  
 060 A000000 Y  
 060 B000000 Y  
 061 000000 0  
 062 A000000 N  
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 PAGE 17  
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 066 G000000 N  
 067 000000 N  
 068 A000000 N  
 068 B000000 N  
 069 000000 N  
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 070 B010000 Y  
 070 B020000 N  
 070 C010000 Y  
 070 C020000 N  
 070 D010000 Y  
 070 D020000 Y  
 070 E010000 Y  
 070 E020000 N  
 070 F010000 Y  
 070 F020000 N  
 070 G010000 Y  
 070 G020000 N  
 070 H010000 Y  
 070 H020000 N  
 070 I010000 Y  
 070 I020000 N  
 070 J010000 Y  
 070 J020000 Y  
 070 K010000 Y  
 070 K020000 N  
 070 L010000 Y  
 070 L020000 Y

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070	M010000	Y	
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070	N010000	Y	
070	N020000	N	
070	O010000	Y	
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070	P010000	Y	
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070	Q010000	Y	
070	Q020000	N	
070	R010000	Y	
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074	F000000		2284239
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074	H000000		0

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074	I000000	3590
074	J000000	188
074	K000000	594
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077	L000000	N
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077	N000000	N
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078	000000	N
080	A000000	ICI MUTUAL INSUARANCE COMPANY
080	C000000	24000
081	A000000	Y
081	B000000	63
082	A000000	Y
082	B000000	150
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084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	991
086	A020000	14170
086	B010000	7369
	PAGE	20



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086 B020000 106456  
086 C010000 0  
086 C020000 0  
086 D010000 0  
086 D020000 0  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 TRI-CONTINENTAL - COMMON STOCK  
087 A020000 895436103  
087 A030000 TY  
087 B010000 TRI-CONTINENTAL - PREFERRED STOCK  
087 B020000 895436202  
087 B030000 TY,  
087 C010000 TRI-CONTINENTAL - WARRANT  
087 C020000 895436111  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 N  
SIGNATURE LAWRENCE P. VOGEL  
TITLE TREASURER